

# Fault roots, shear zones, and lithospheric deformation from receiver functions and rock sample anisotropy

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# Outline

**Faults and shear zones**

**Crustal anisotropy**

**RF imaging**

**Interpretation**

**Roots of faults?**

**crustal rock tensor  
collection**

**Method**

**listric fault fabric  
through lithosphere**

**Results:**

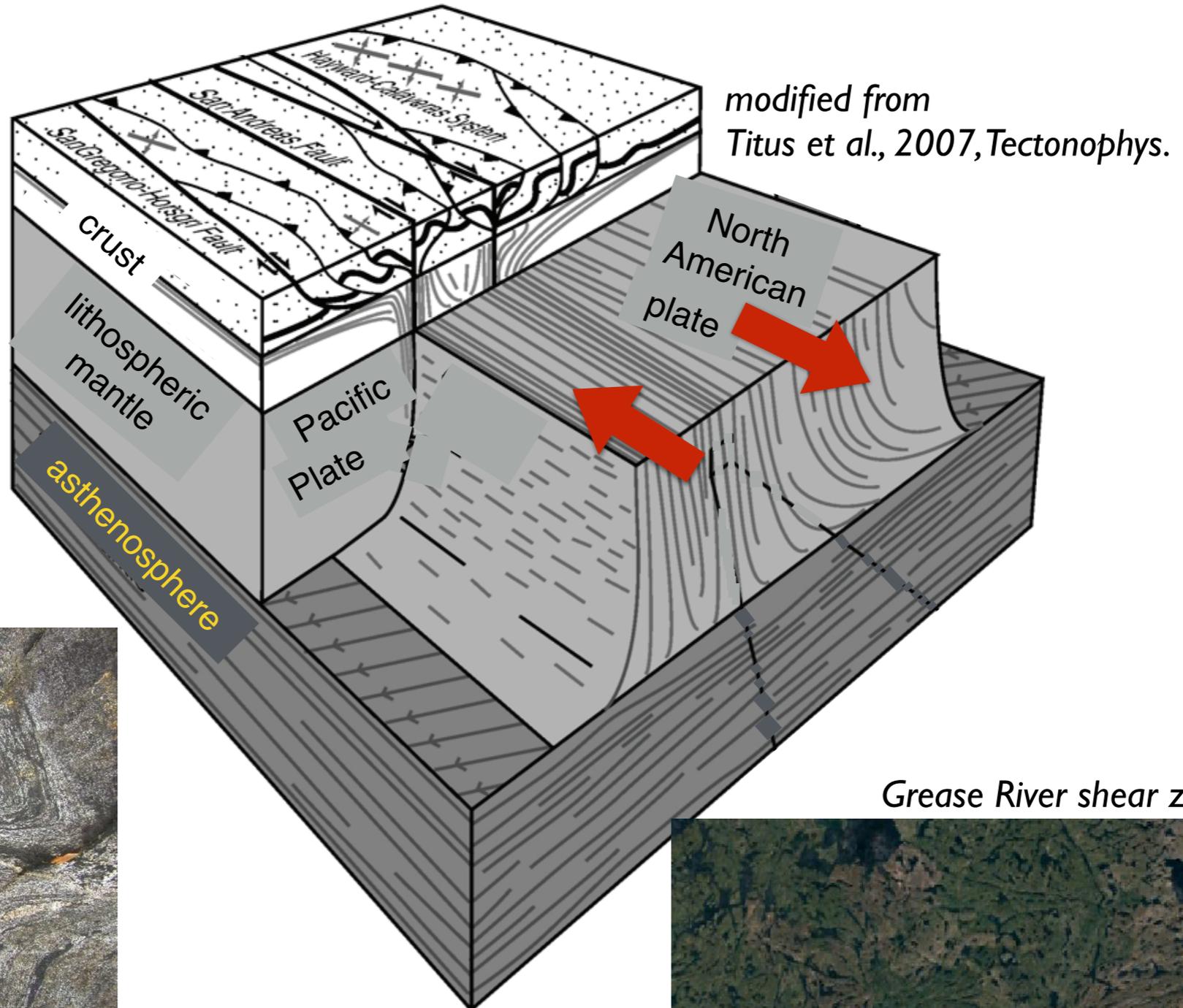
**Denali Fault zone  
Rocky Mountains/  
Wasatch  
Southern California,  
Basin & Range**

**role of inheritance?**

# Motivation

Roots of faults?

Strain localization in deep crust, lithospheric mantle?



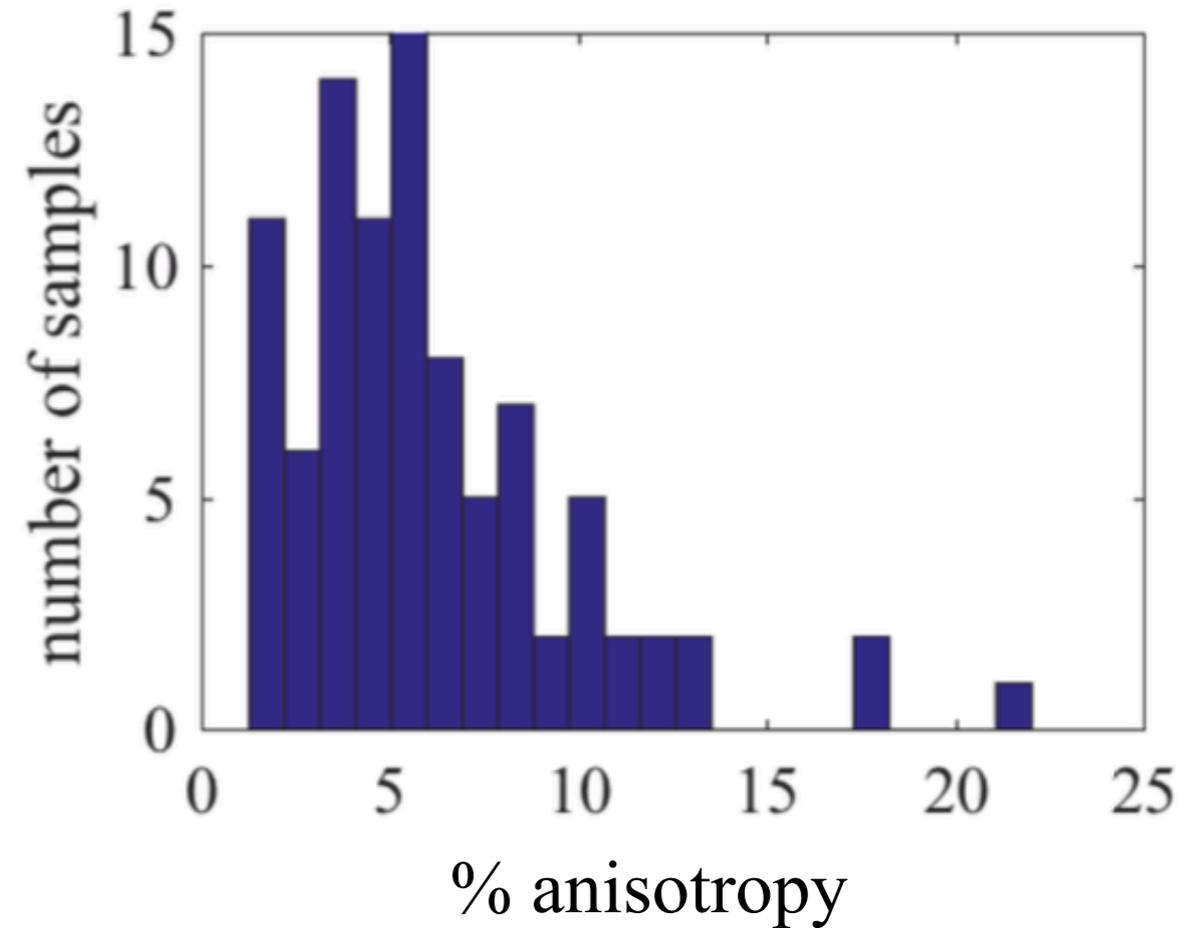
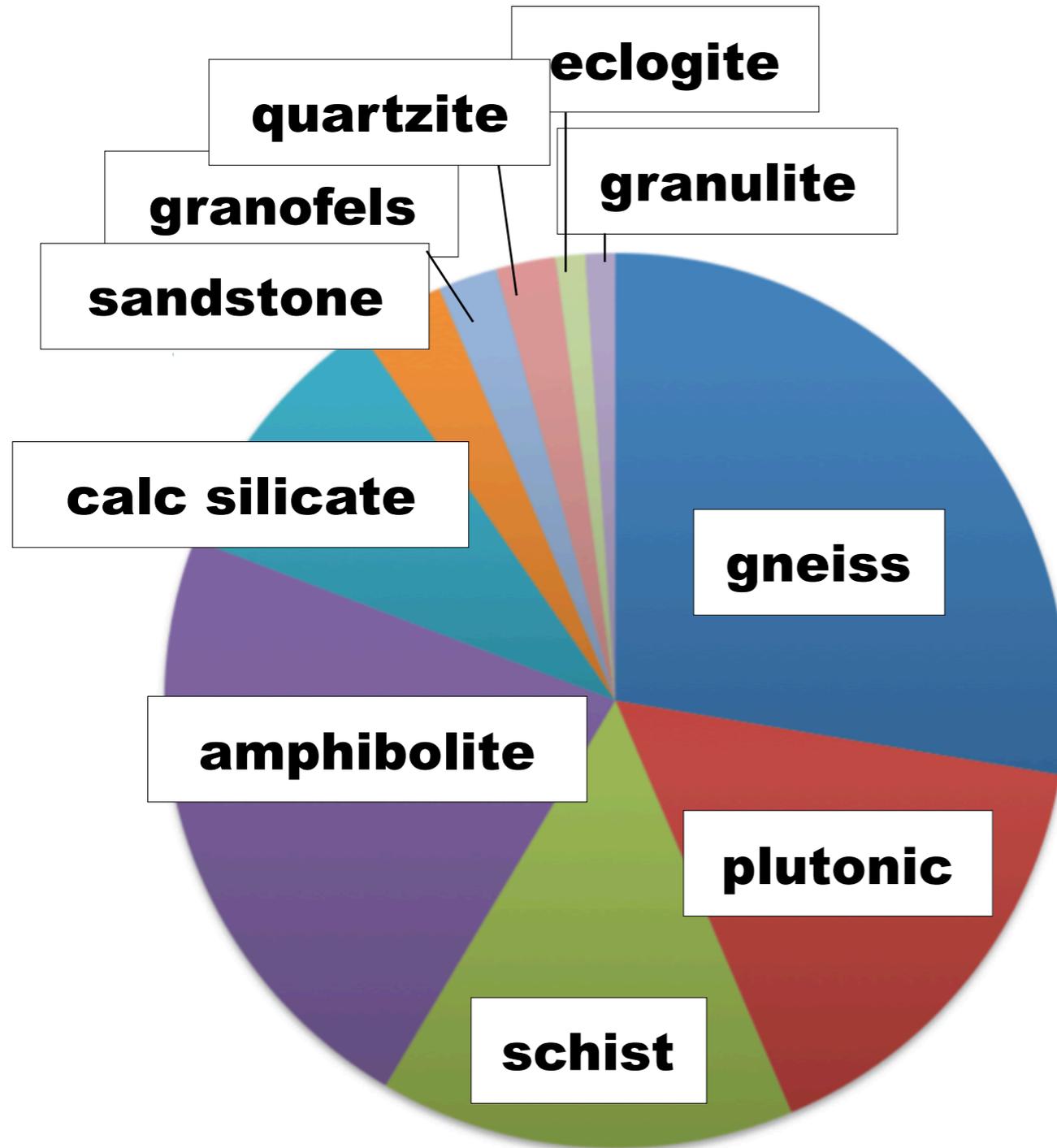
[www.uvm.edu/~kklepeis/fieldforum/](http://www.uvm.edu/~kklepeis/fieldforum/)



deformation → rock fabric → seismic anisotropy

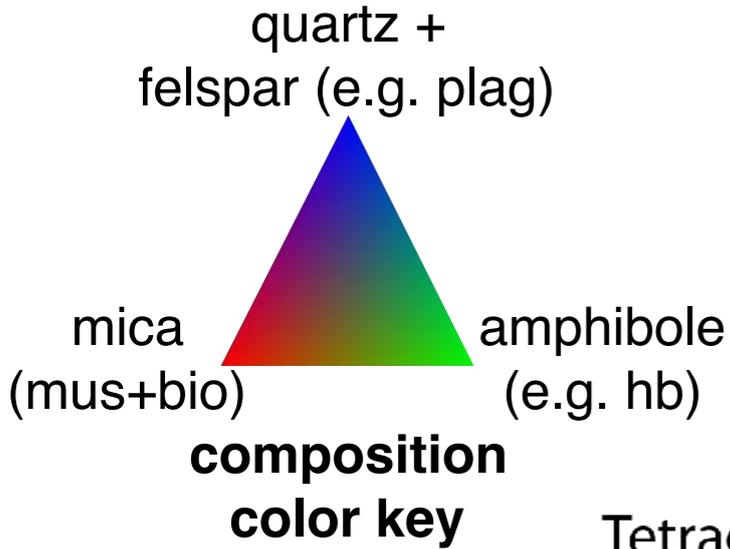
# What does crustal anisotropy look like?

“Ground truth” from ~100 crustal rock full elasticity tensors

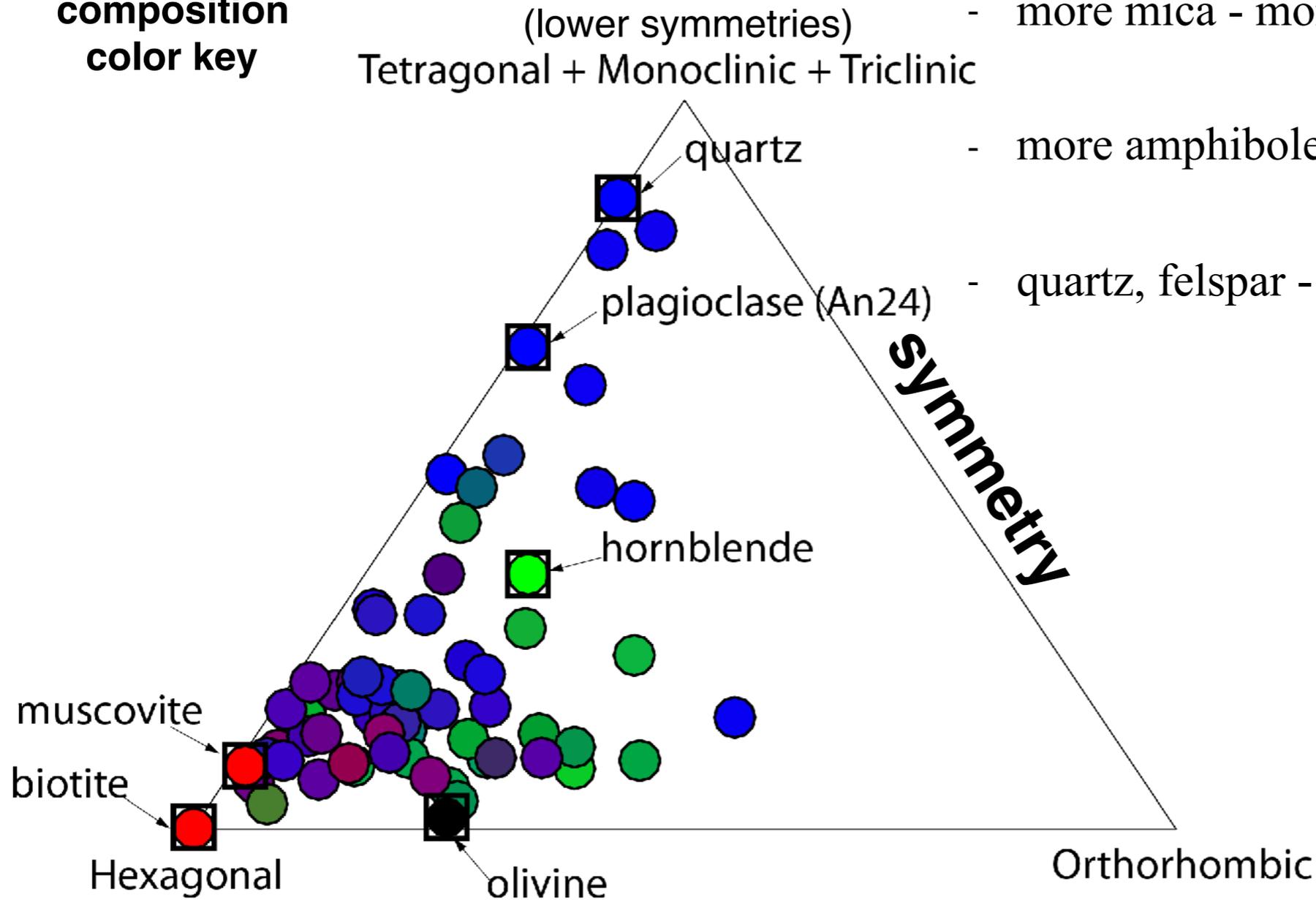


*Brownlee, Schulte-Pelkum, Raju, Mahan, Condit, Orlandini  
2017, submitted (Tectonics)*

# Anisotropy symmetry type by composition



- most samples closer to hexagonal symmetry
- more mica - more hexagonal
- more amphibole - towards orthorhombic
- quartz, felspar - more lower symmetry



*symmetry decomposition:  
Browaeys & Chevrot, 2004, GJI*

*Brownlee et al., 2017, submitted*

**Faults/shear zones**

**Crustal anisotropy**

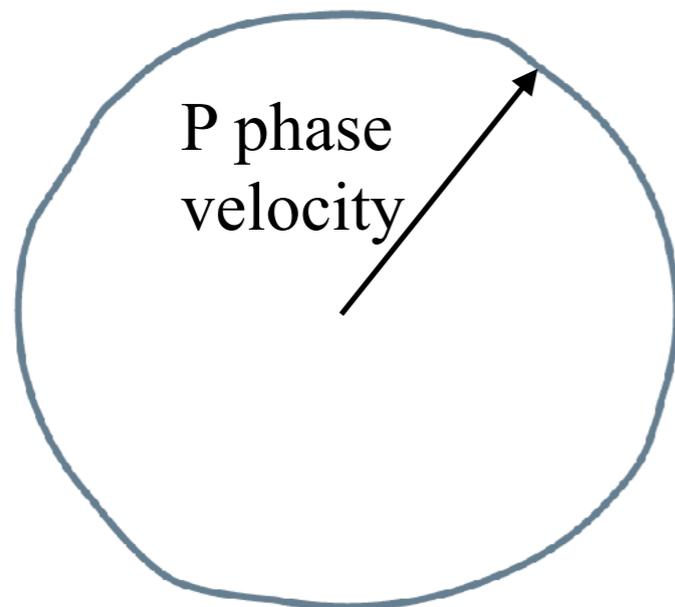
**RF imaging**

**Interpretation**

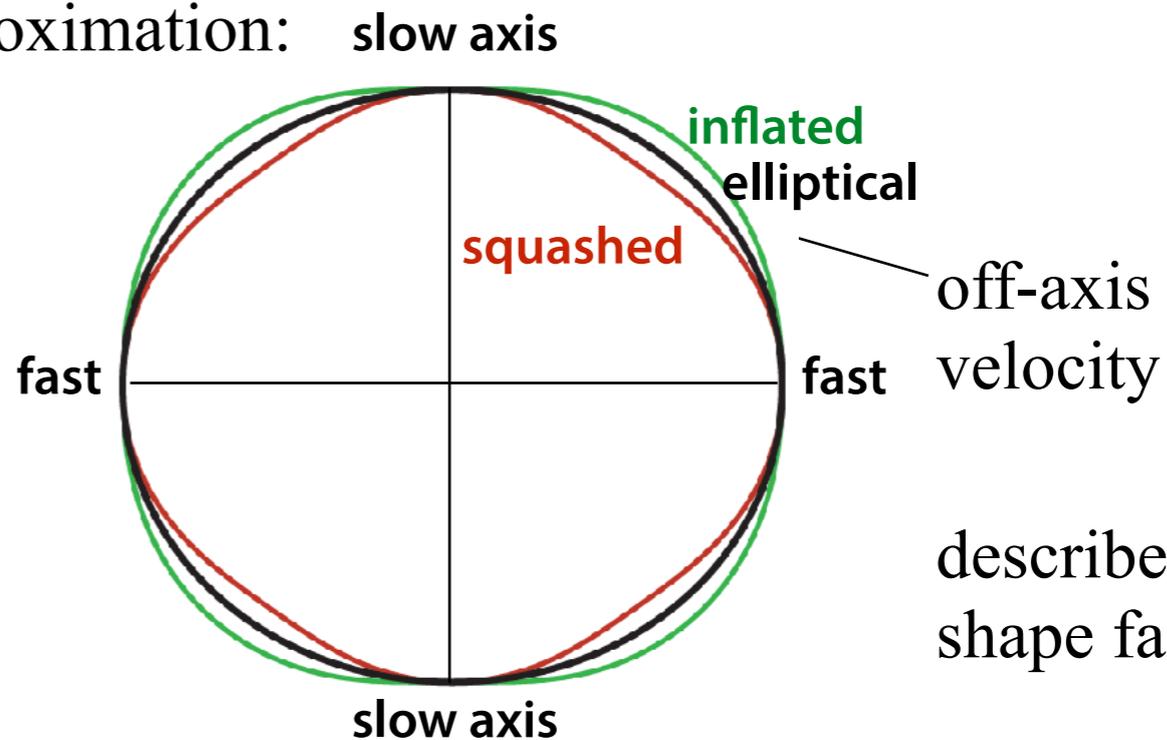
# Is hexagonal anisotropy elliptical?

P velocity surface

general anisotropy:



best-fit hexagonal approximation:



described by shape factor  $\eta$

geometric definition: ellipticity parameter  $\eta_{\kappa}$

*def. Kawakatsu 2016, GJI*

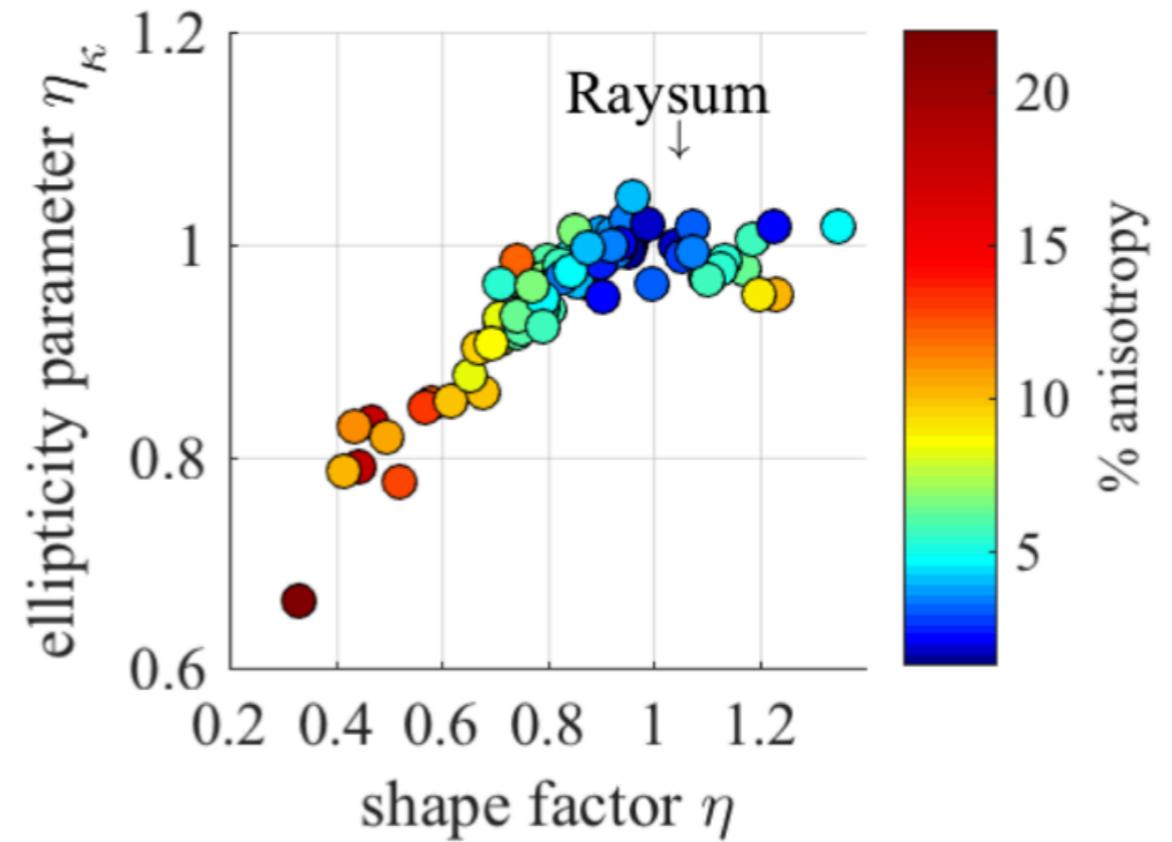
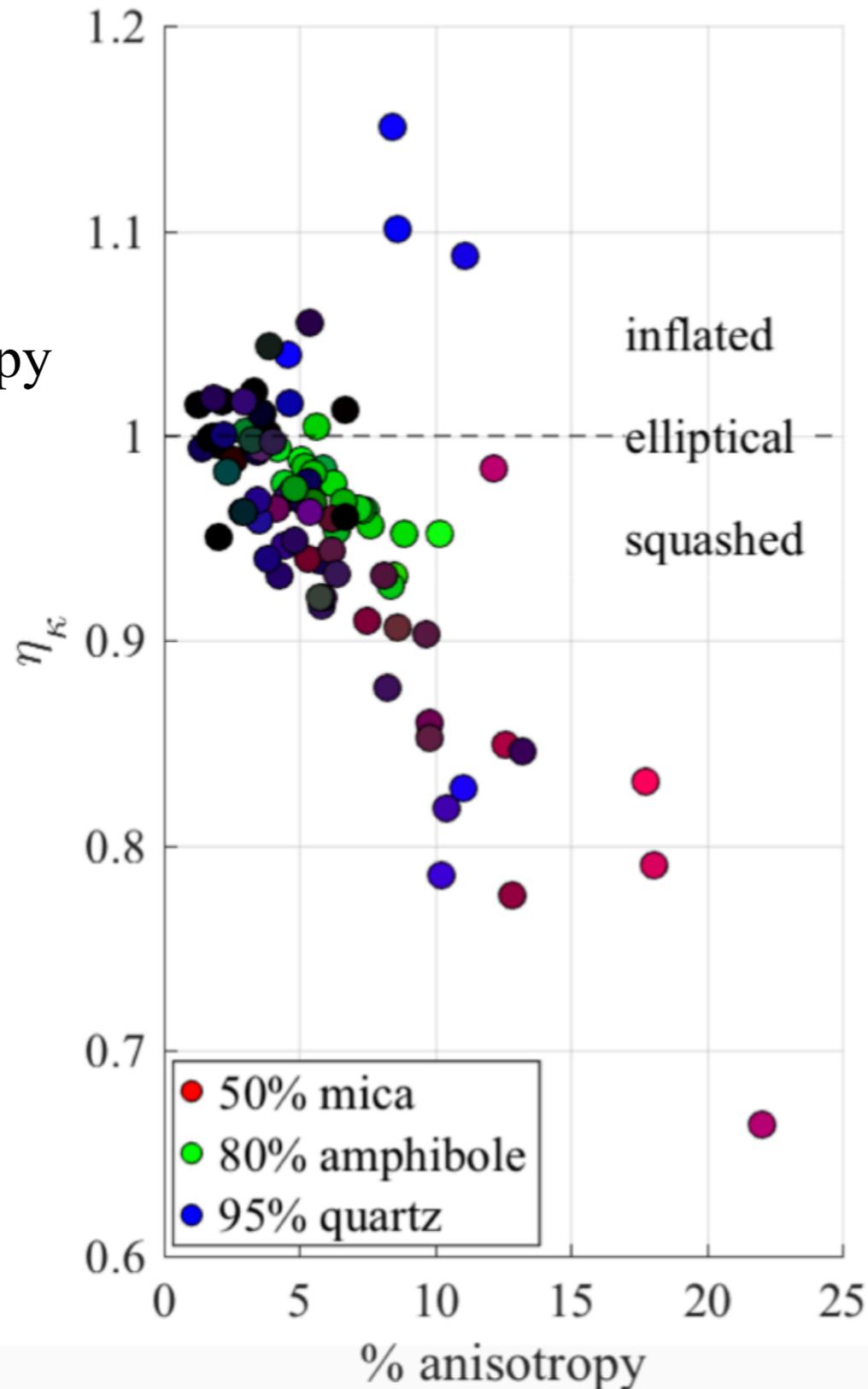
Simplest anisotropy case: hexagonal (5 independent parameters)

e.g. 1.  $V_p$  (avg), 2.  $V_s$  (avg), 3.  $\%V_p$ , 4.  $\%V_s$ , 5. **off-axis velocity**

Typical assumption: elliptical anisotropy

# Ellipticity in rock tensor compilation

higher anisotropy  
= less elliptical



*Brownlee et al., 2017, submitted*

also resolves 90° ambiguity in  
surface wave anisotropy  
(Xie, Ritzwoller, Brownlee, Hacker,  
2014 GJI)

Faults/shear zones

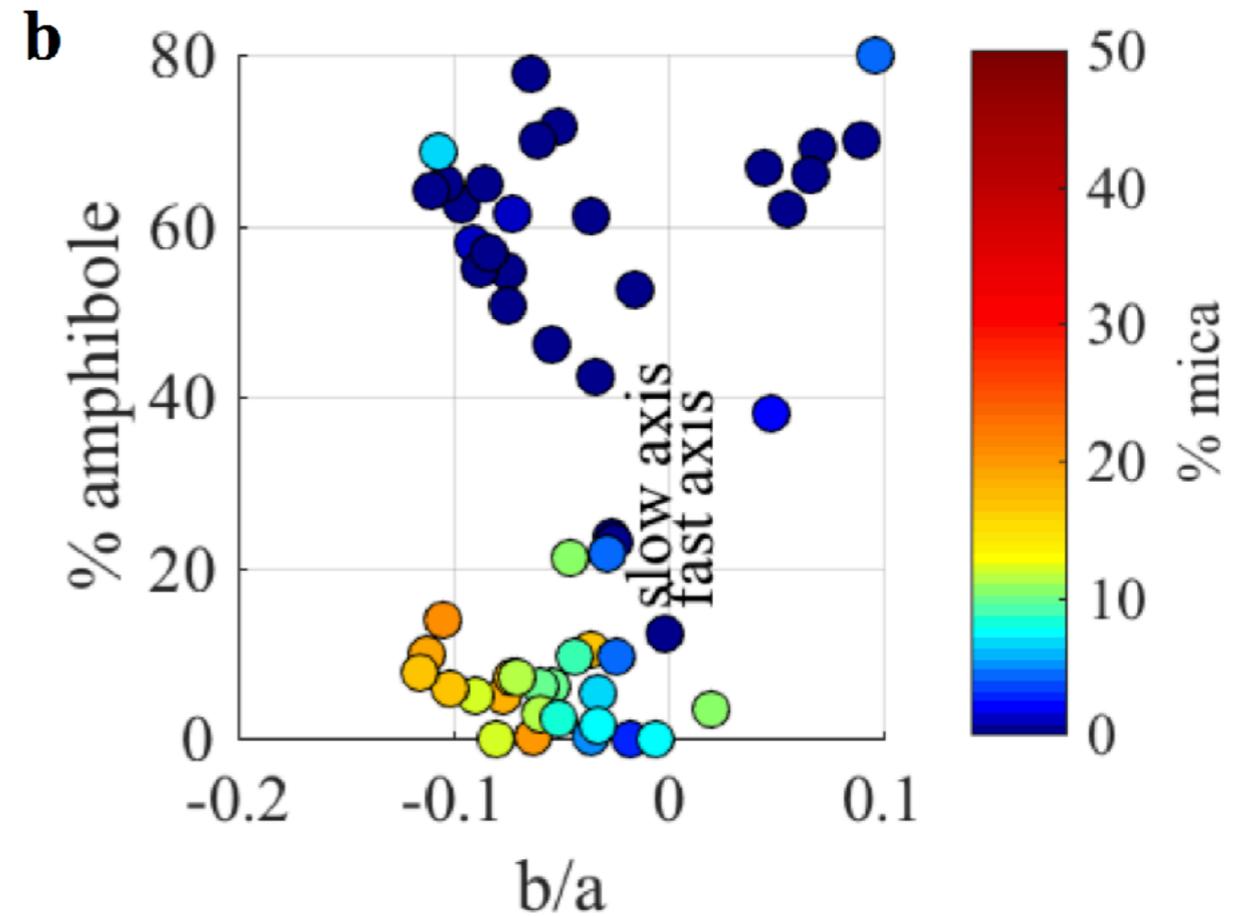
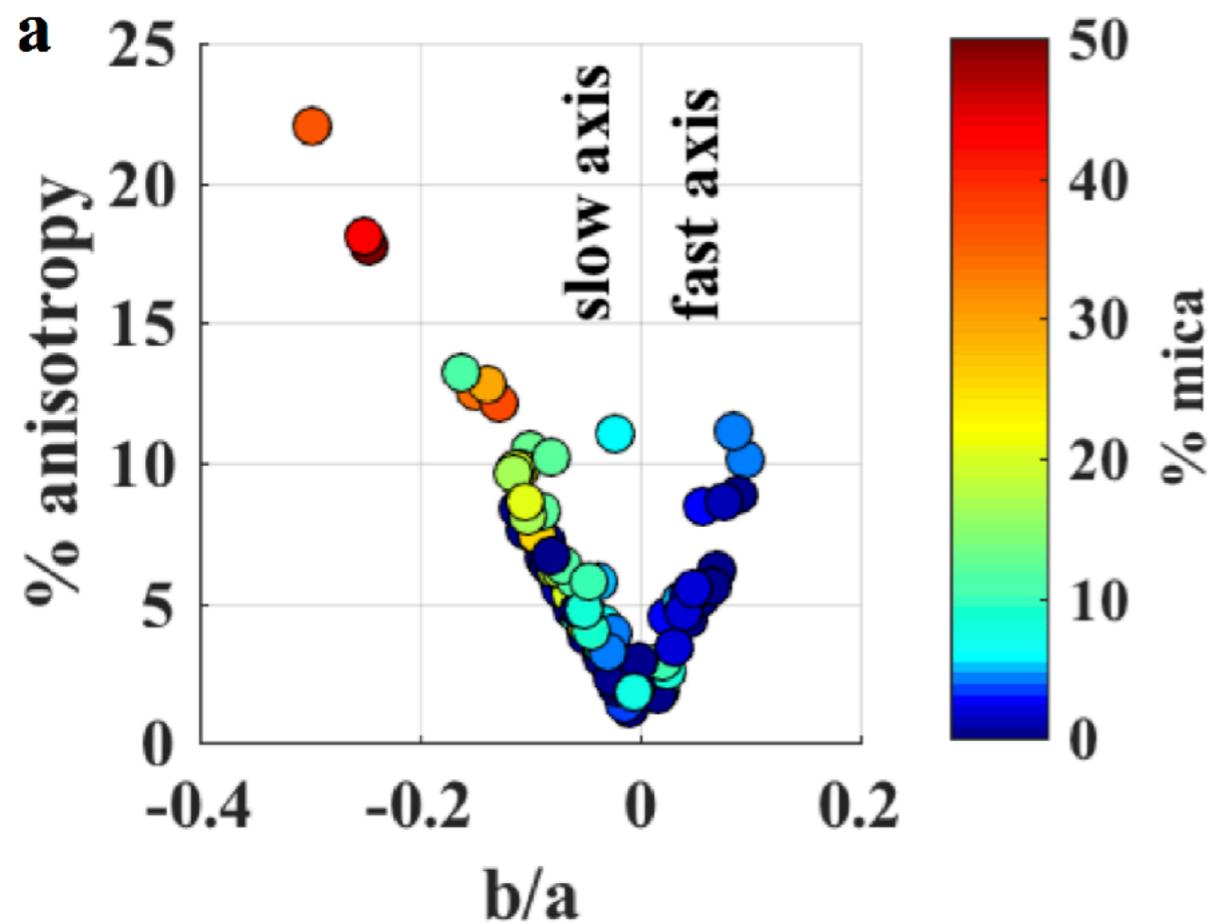
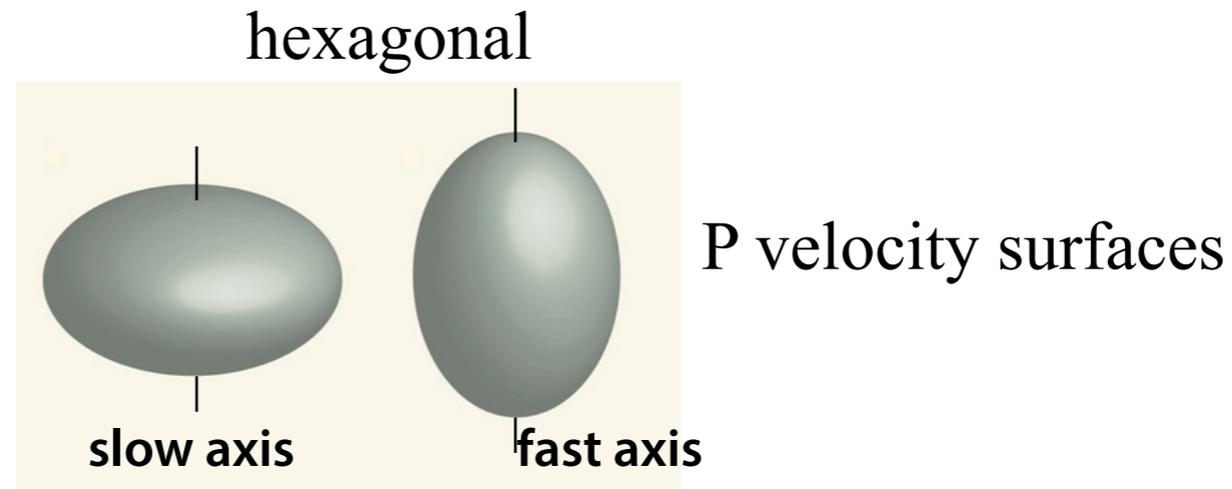
Crustal anisotropy

RF imaging

Interpretation

# Hexagonal component:

slow vs. fast axis symmetry?



Crustal tensor compilation: **77 % slow axis symmetry, 23 % fast axis**

*Brownlee et al., 2017, submitted*

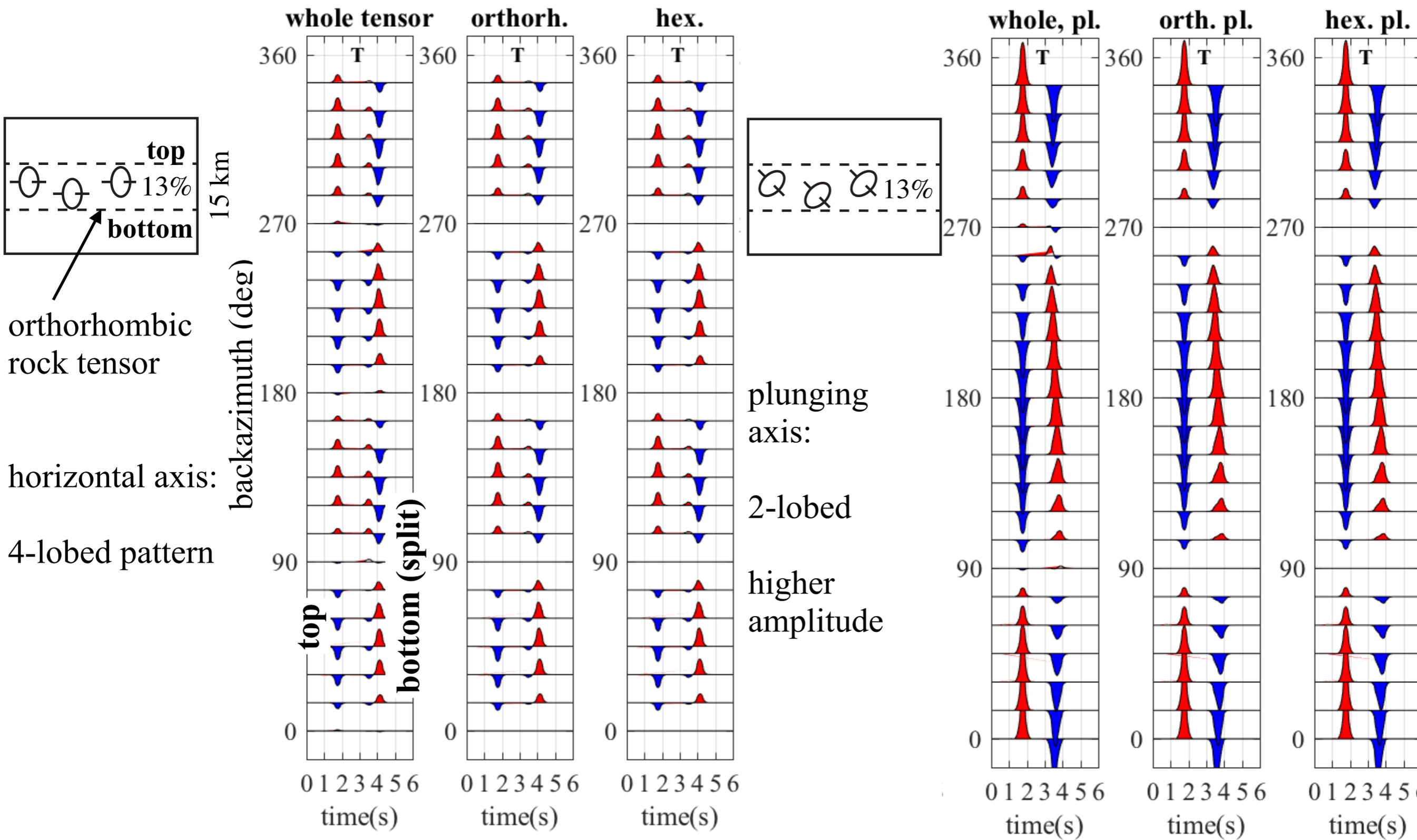
Faults/shear zones

Crustal anisotropy

RF imaging

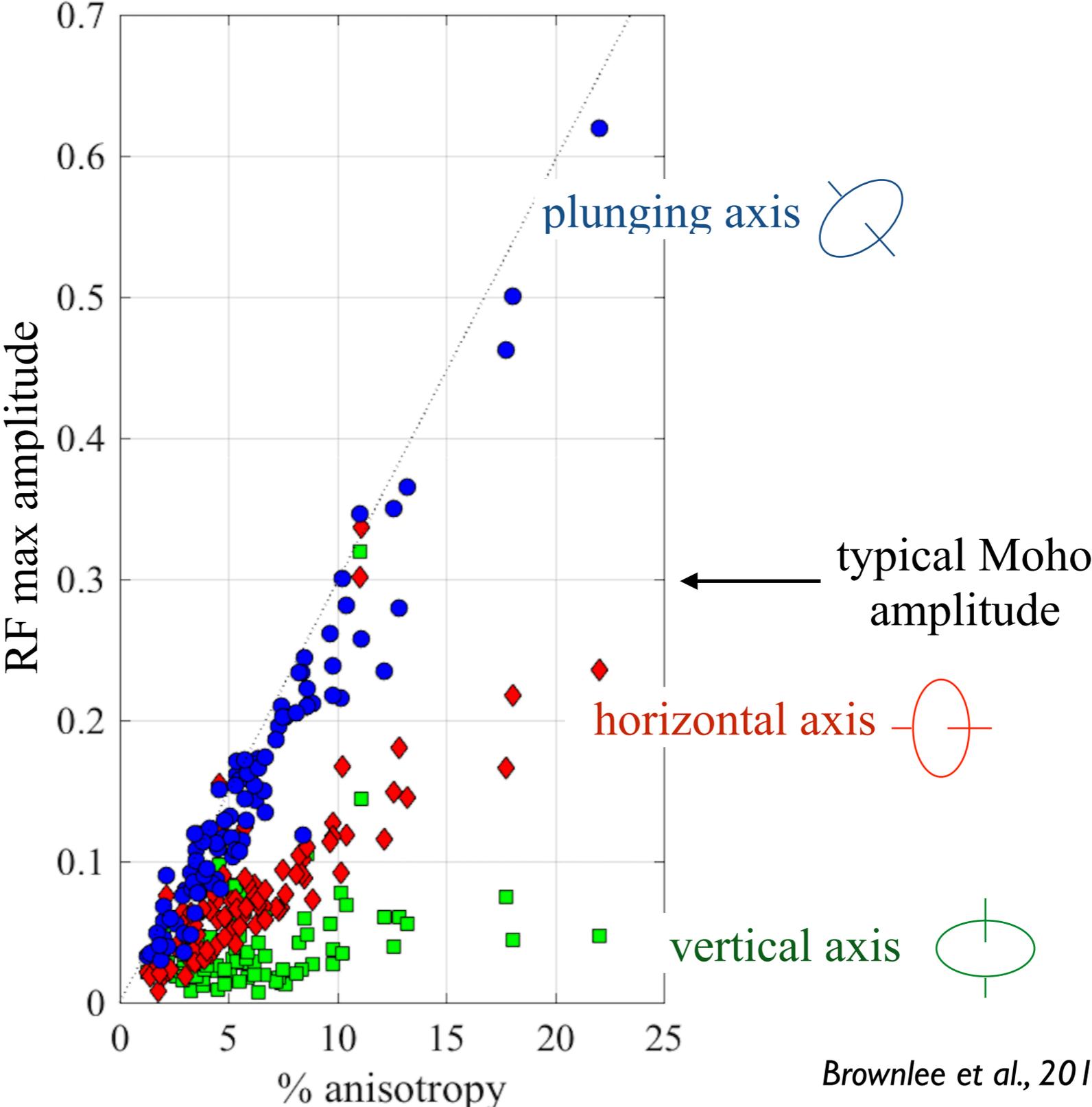
Interpretation

# How do receiver functions see anisotropy?



Brownlee et al., 2017, submitted

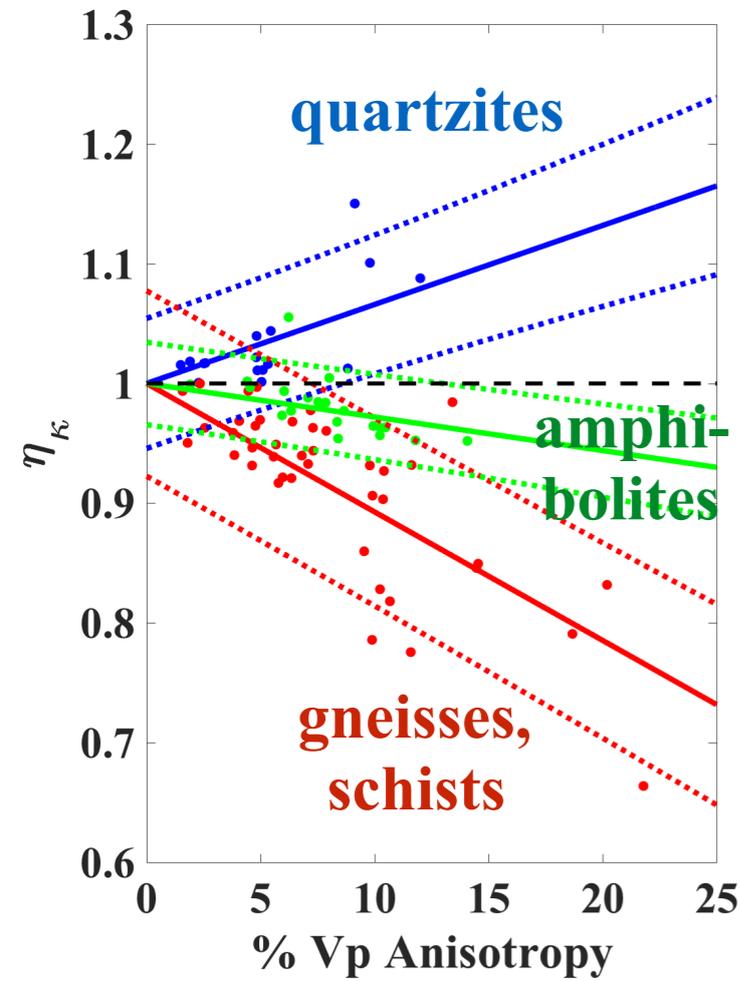
# Full rock tensors: maximum RF amplitude



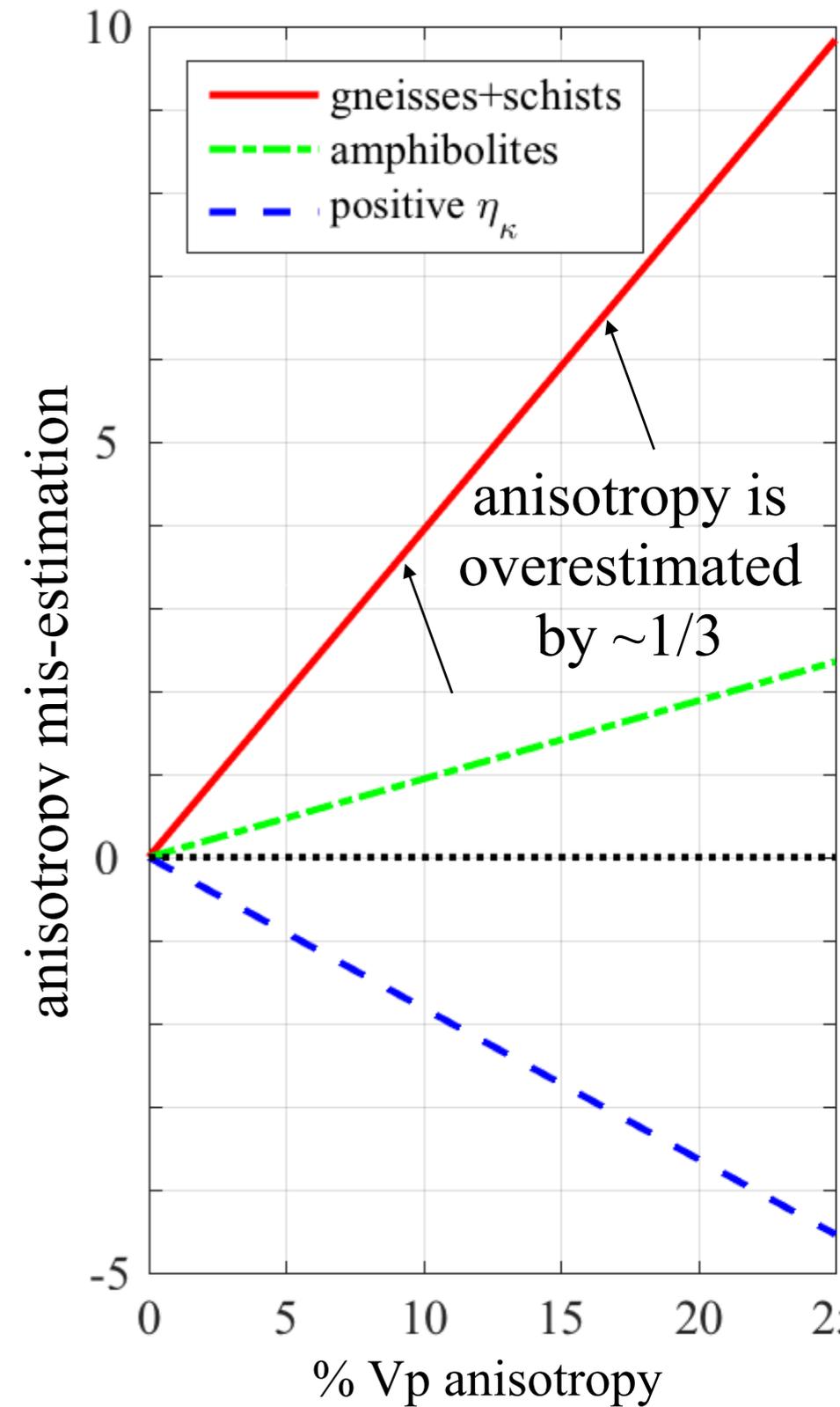
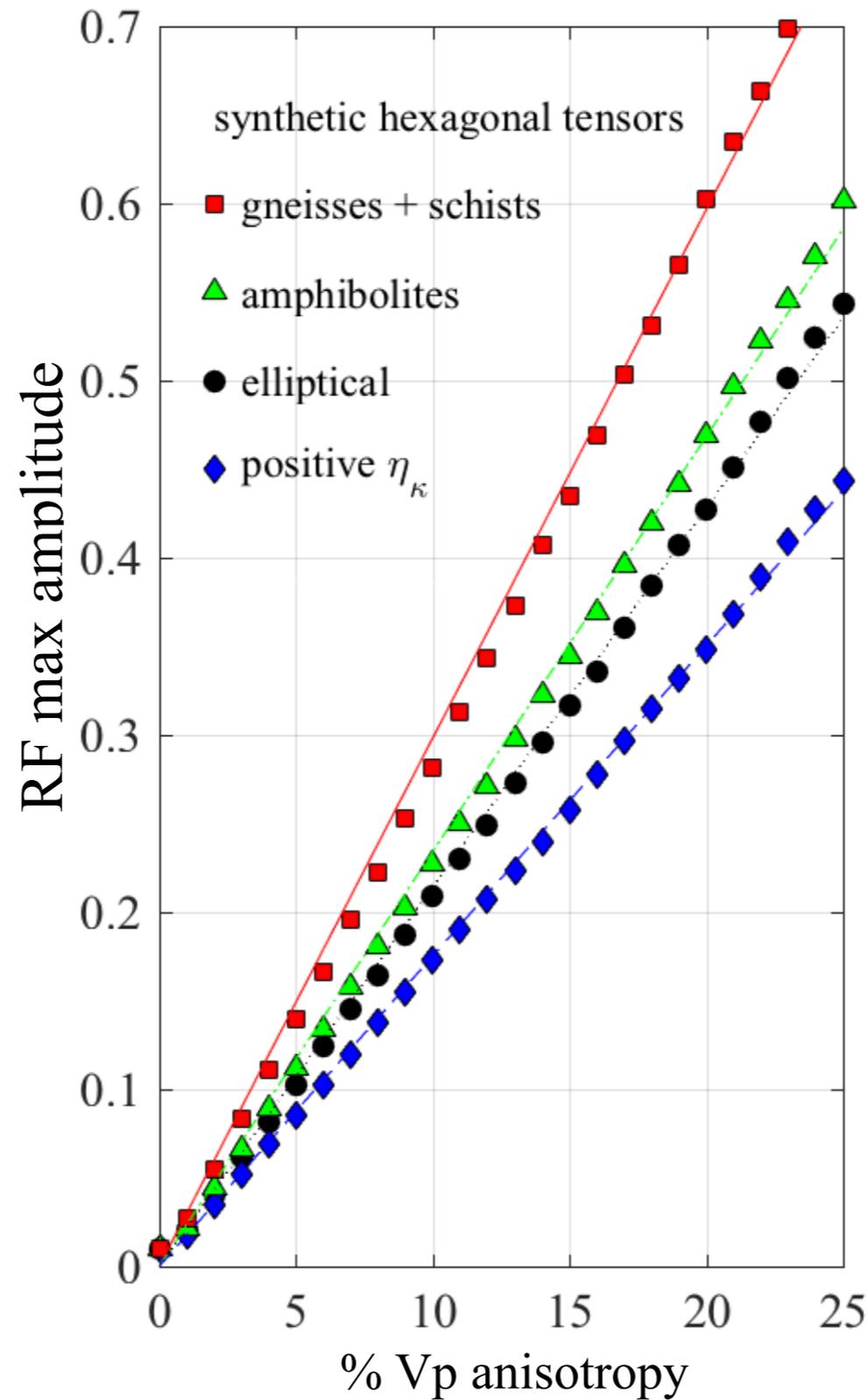
roughly linear scaling

Brownlee et al., 2017, submitted

# Hexagonal component: influence of ellipticity assumption



Brownlee et al., 2017, submitted



Faults/shear zones

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## Crustal anisotropy cheatsheet:

hexagonal ok to assume

likely slow-axis symmetry

strong: gneiss, schists  
(fast orientations: foliation plane)

not elliptical

# How to measure foliation strike

azimuthal (horizontal axis)

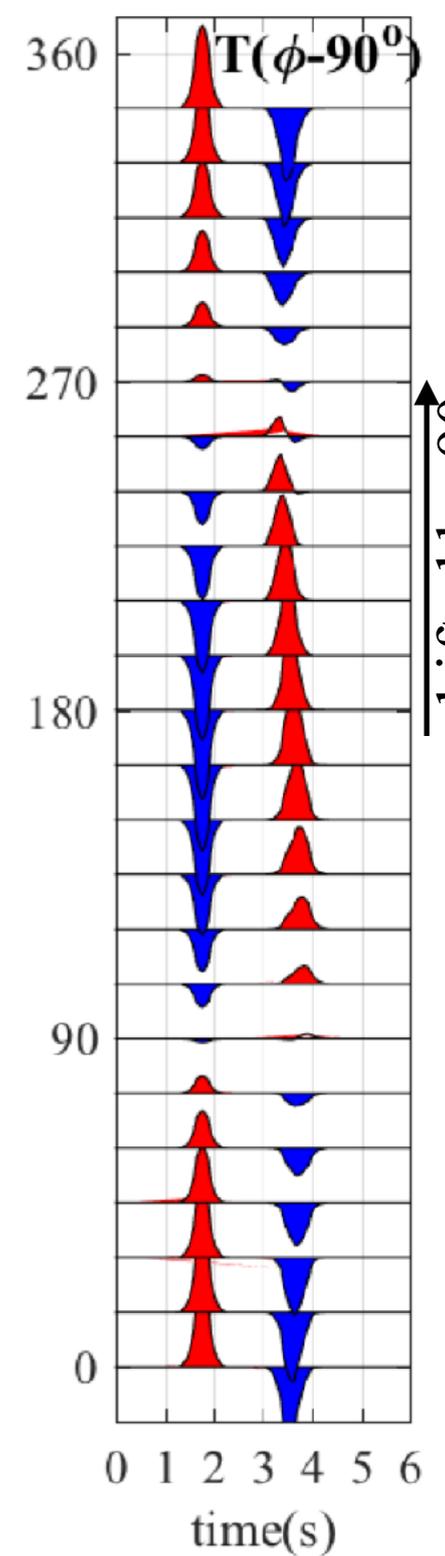
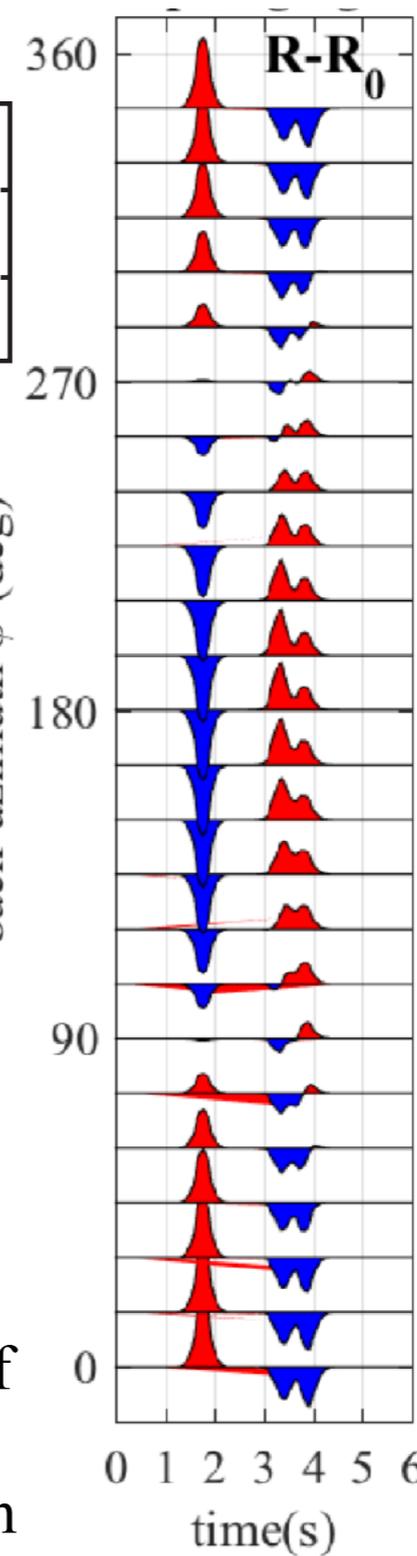
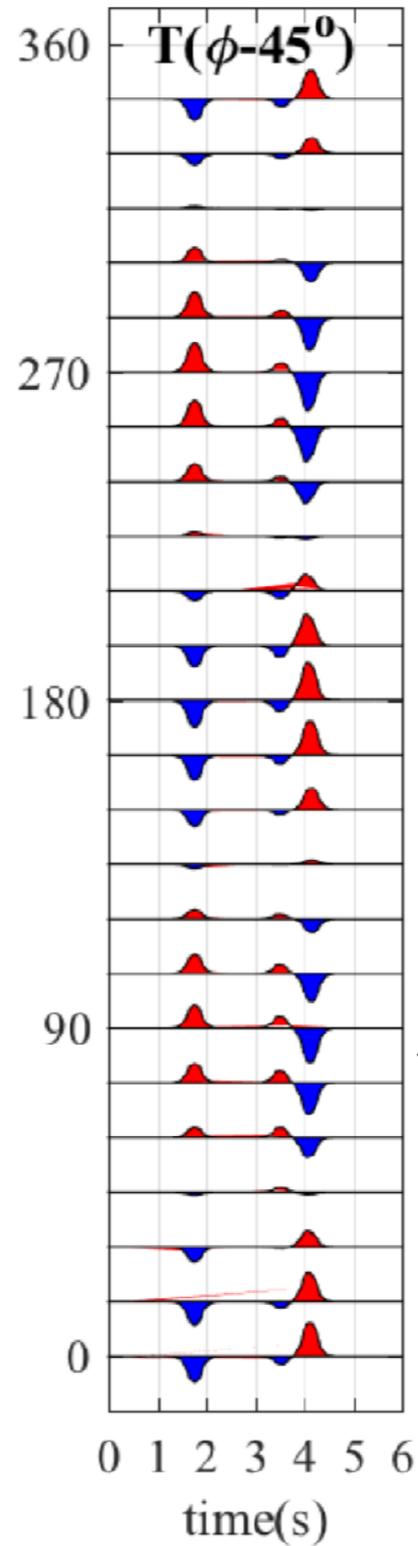
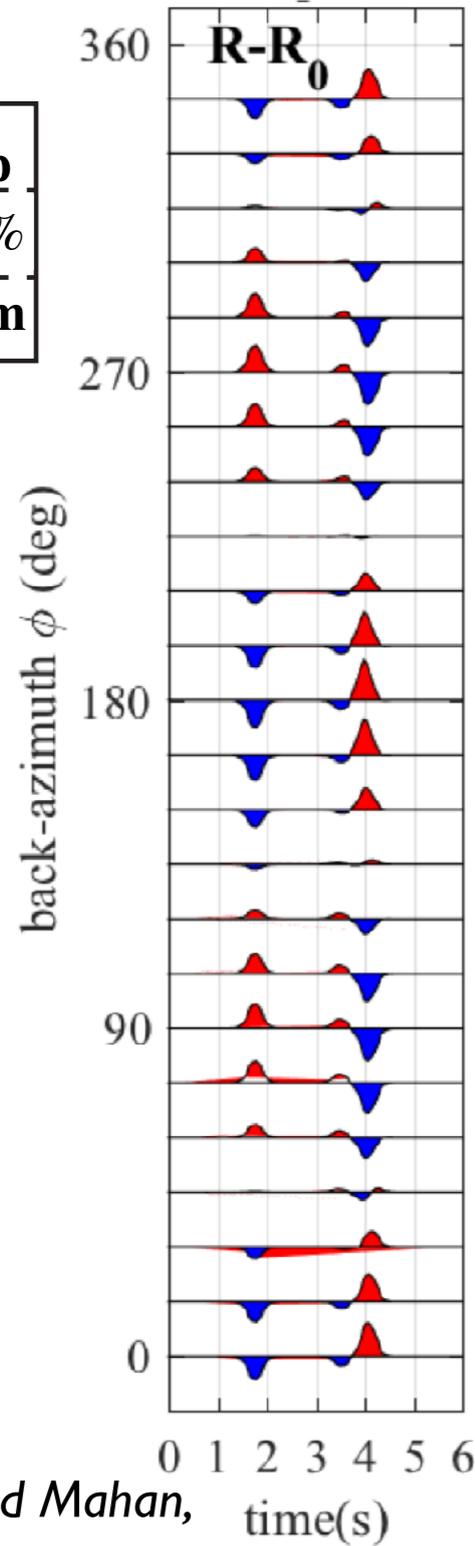
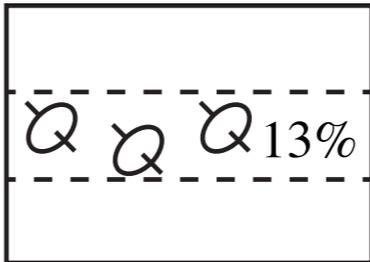
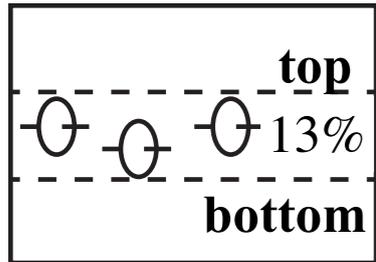
plunging axis anisotropy

radial

transverse

radial

transverse



maxima =  
fast/slow  
axis

polarity  
flip =  
strike of  
dipping  
foliation

↑  
shifted by 45°

↑  
shifted by 90°

Schulte-Pelkum and Mahan,  
2014, EPSL

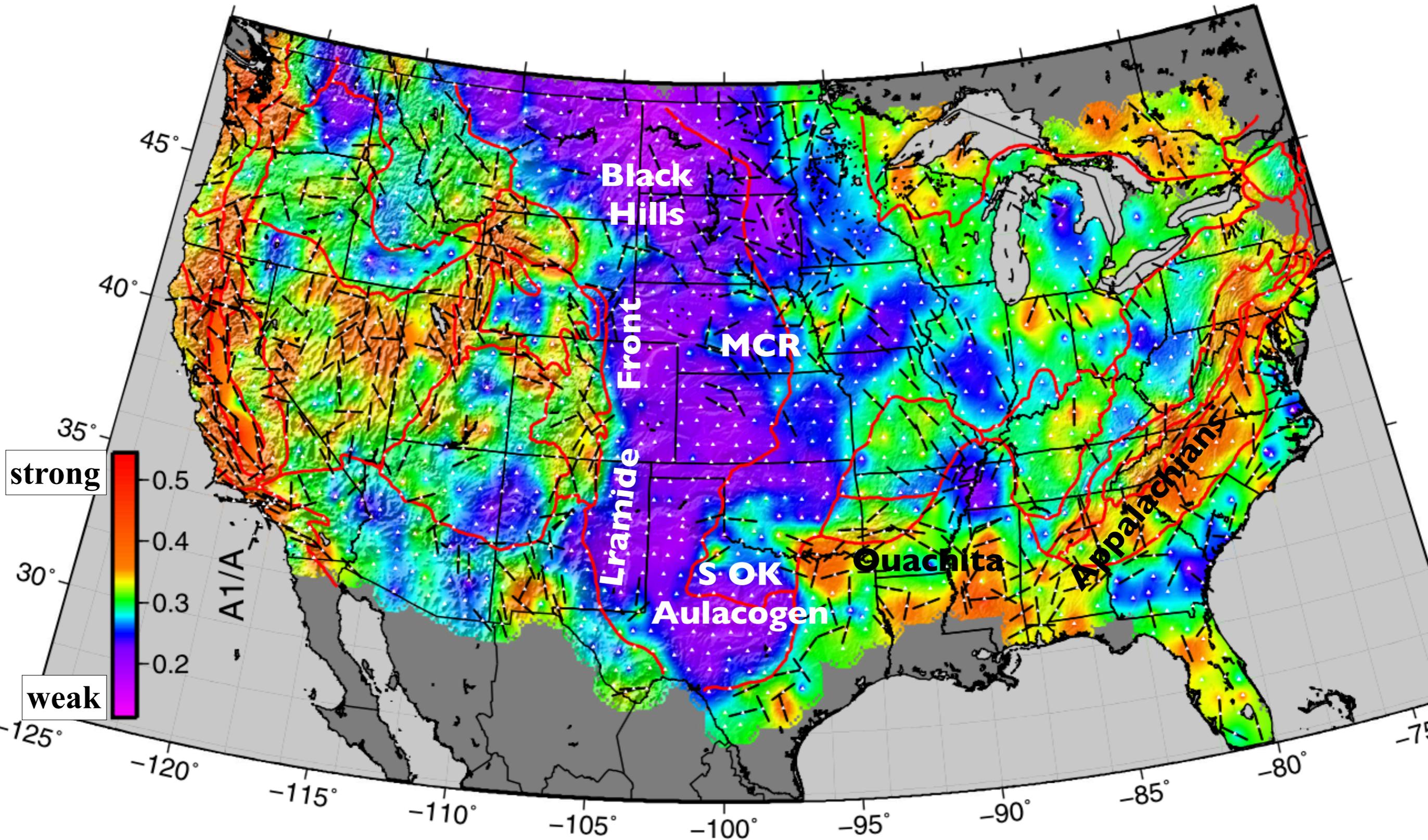
Faults/shear zones

Crustal anisotropy

RF imaging

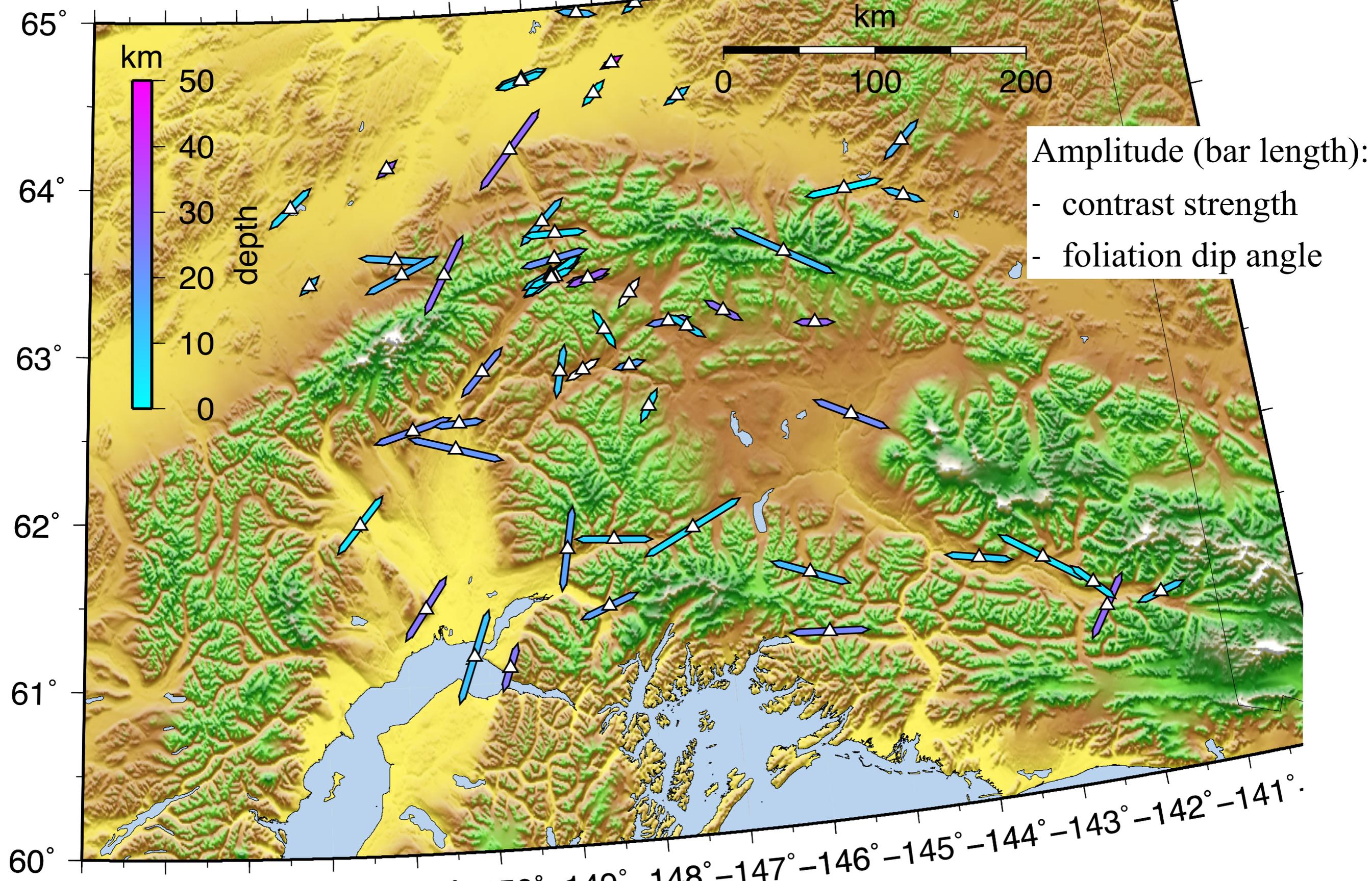
Interpretation

# Crustal average of dipping foliation signal strength



Schulte-Pelkum and Mahan, 2014, EPSL

# Foliation strike: Denali



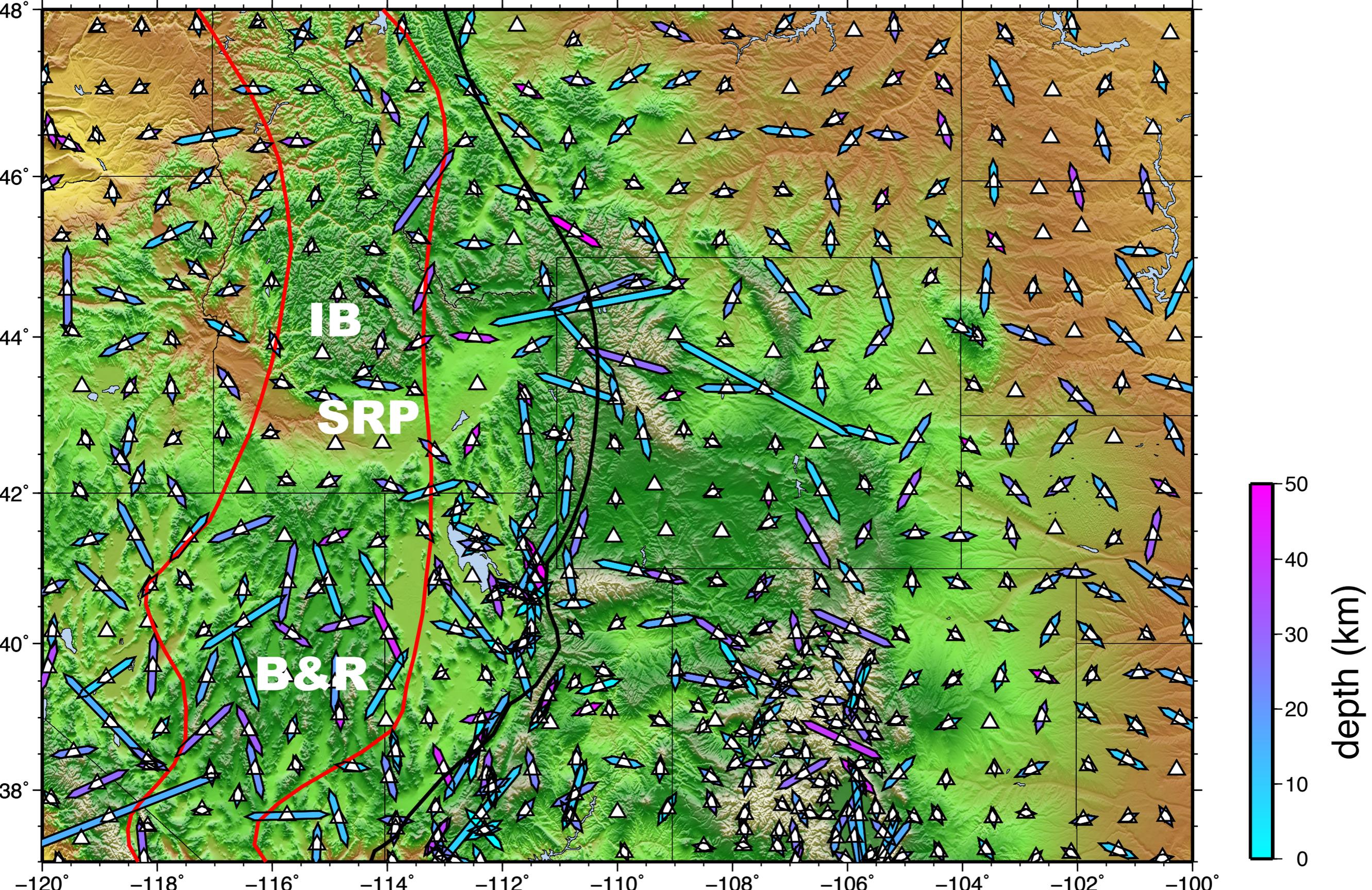
Faults/shear zones

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# Foliation strike: Rockies, intermountain West, Basin & Range

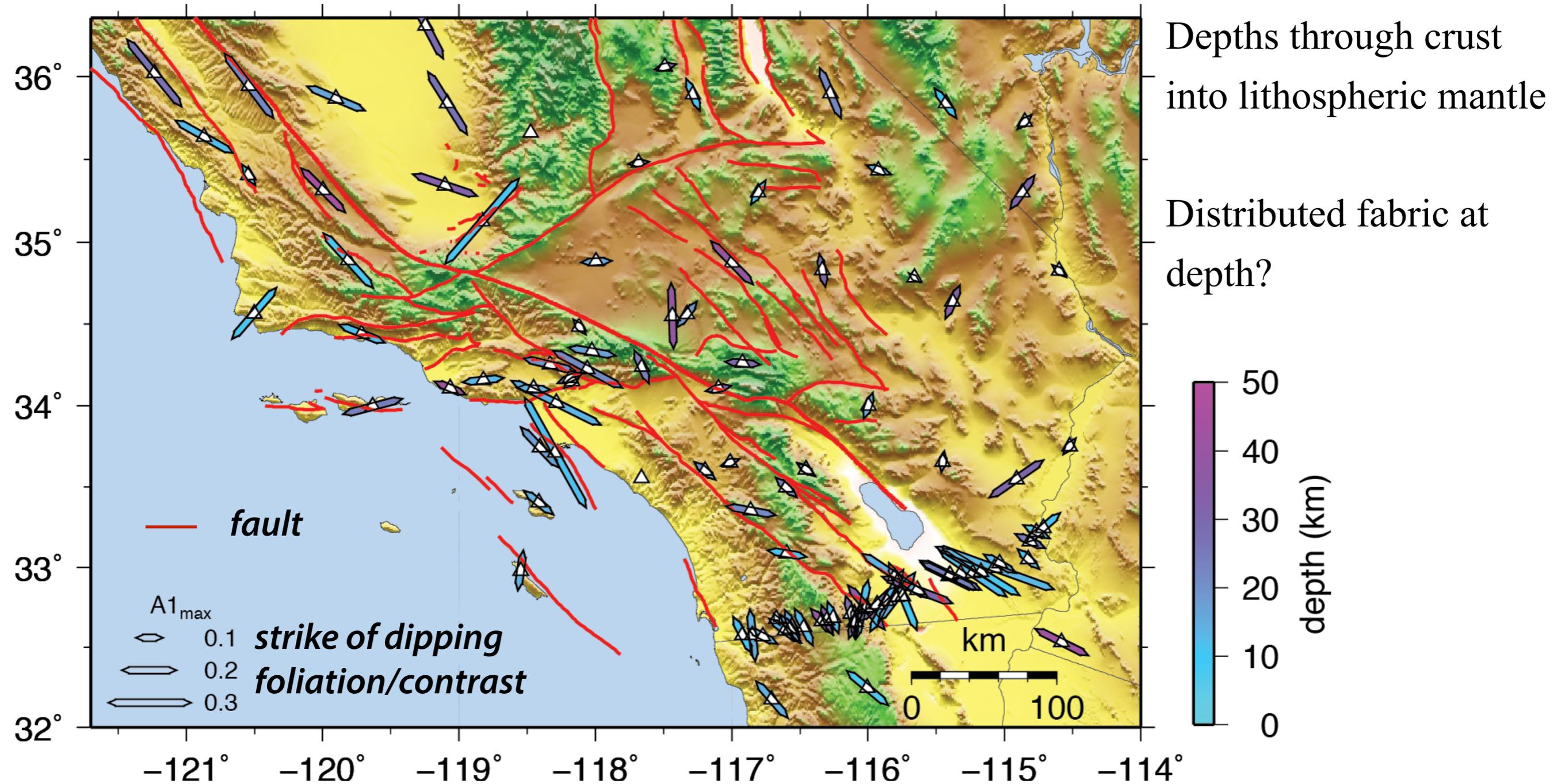


**Faults/shear zones**      **Crustal anisotropy**      **RF imaging**      **Interpretation**

# Foliation strike: California plate boundary

foliation strike

~parallel faults



Schulte-Pelkum and Mahan, 2014, EPSL

Faults/shear zones

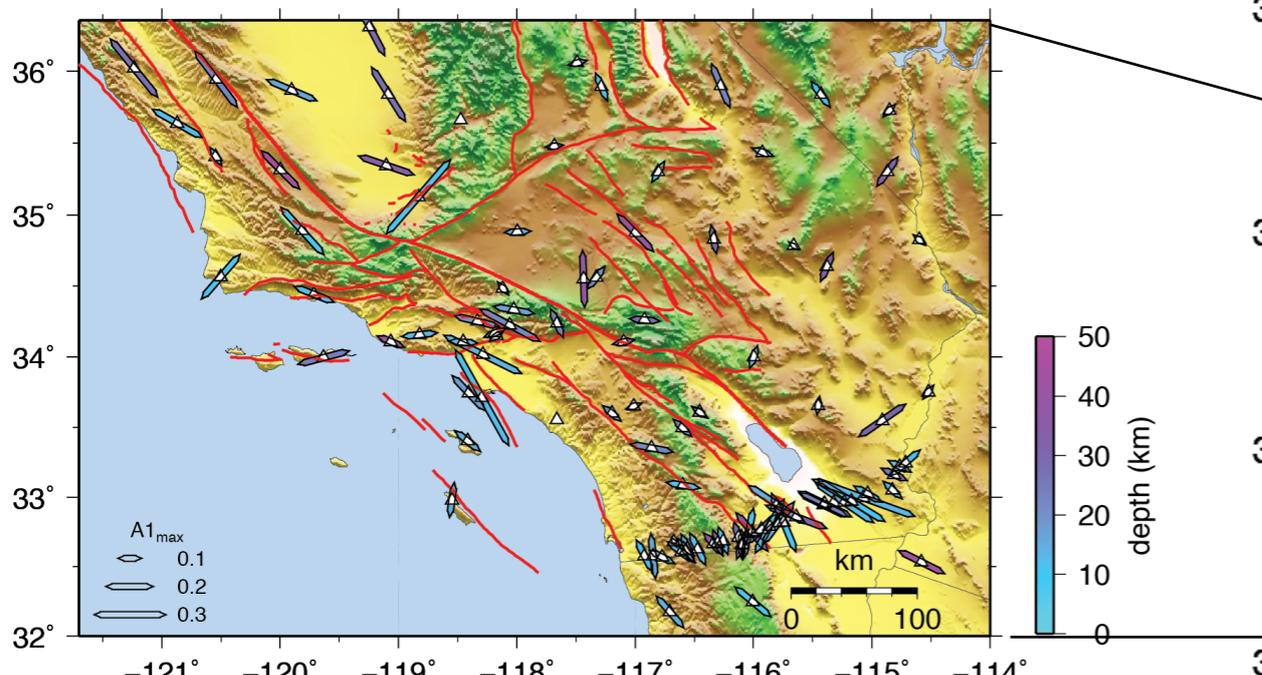
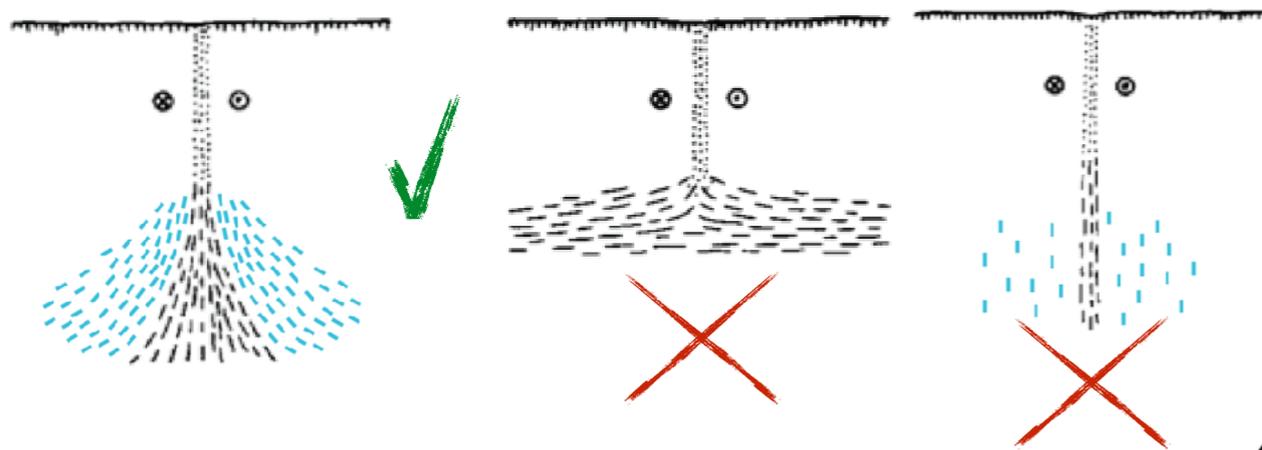
Crustal anisotropy

RF imaging

Interpretation

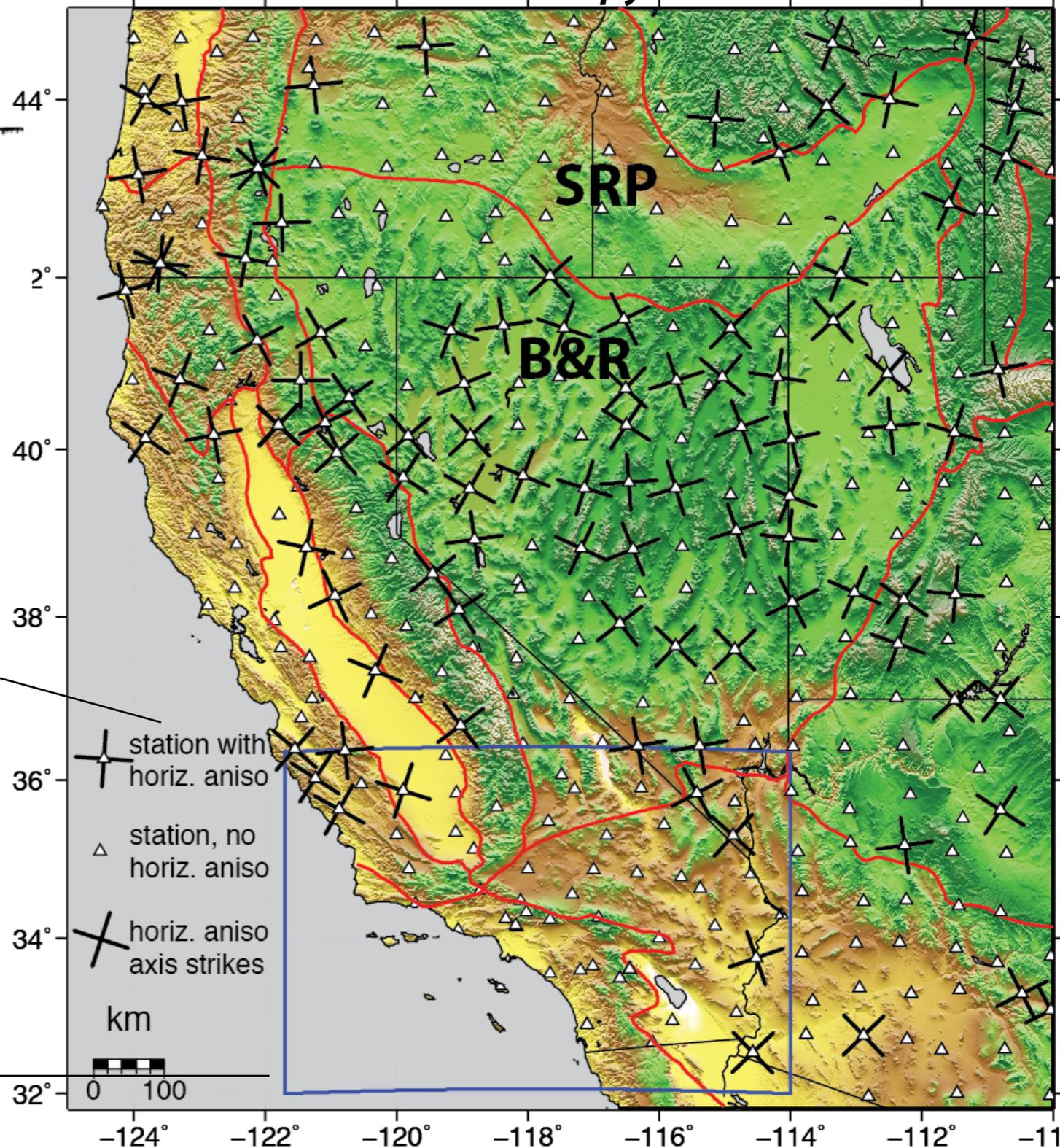
# Shear fabric orientation at depth?

after Sibson, 1983



dipping foliation

azimuthal anisotropy



- ⊗ station with horiz. aniso
- △ station, no horiz. aniso
- ⊗- horizontal anisotropy axis strikes

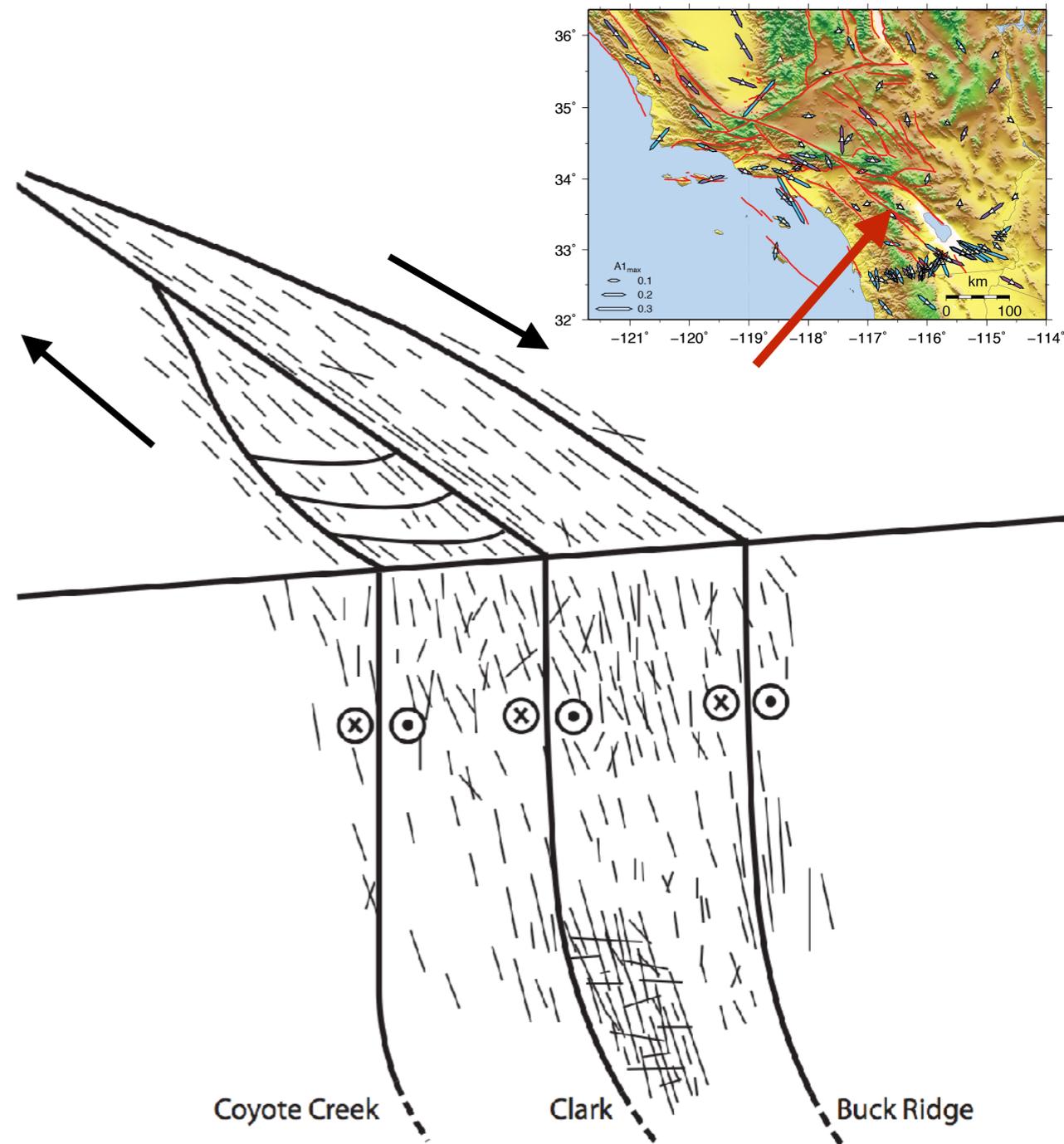
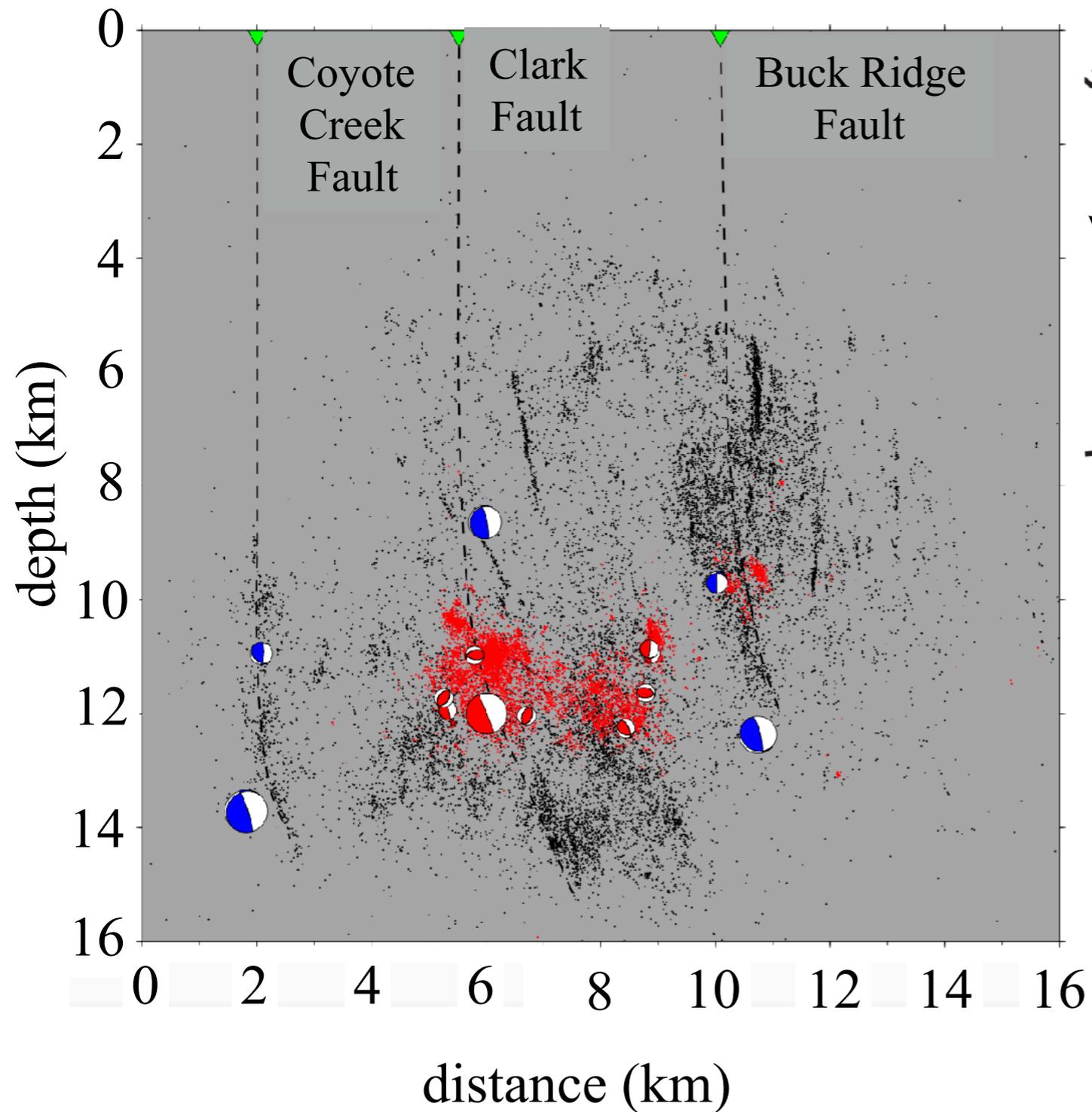
Faults/shear zones

Crustal anisotropy

RF imaging

Interpretation

# Listric transform faults below ~ 10 km based on seismicity



Ross, Hauksson, Ben-Zion, 2017, Science Advances

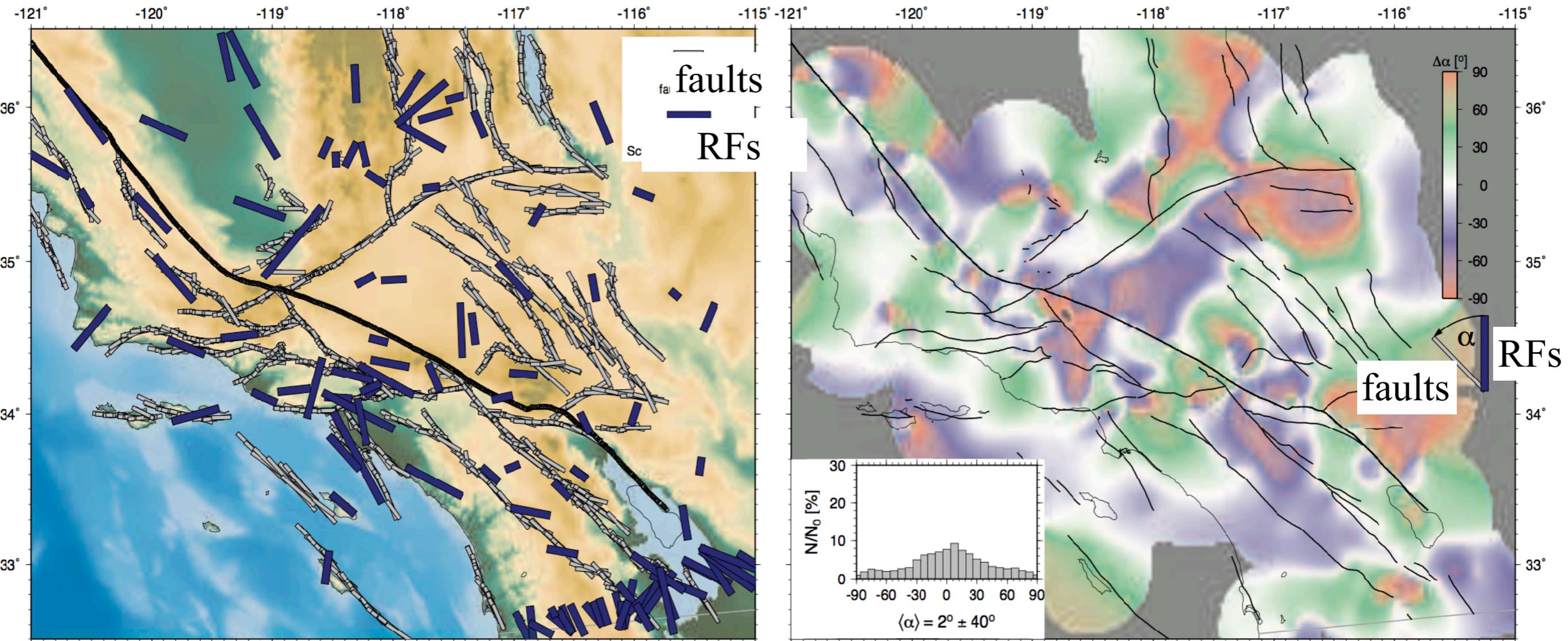
Faults/shear zones

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# How fault-parallel are RF strikes?



better correlation than RFs to topographic range strikes

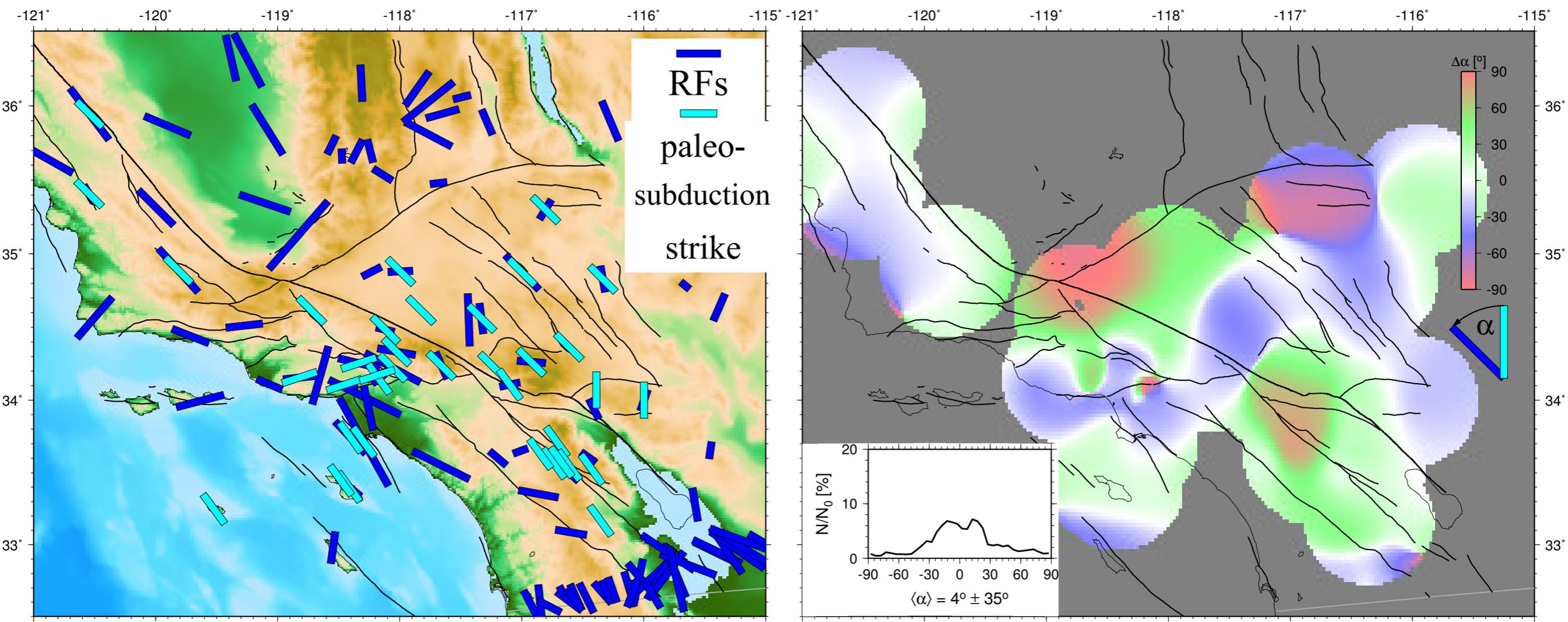
Faults/shear zones

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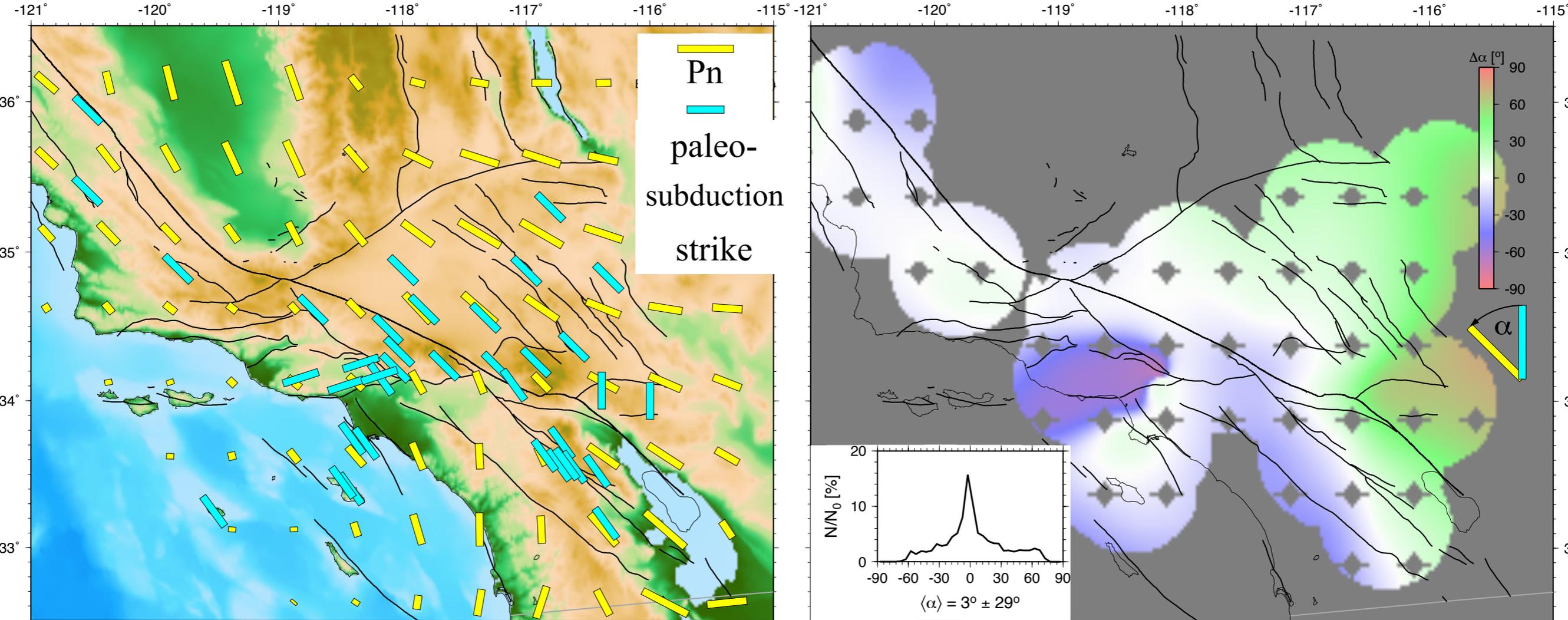
Interpretation

# Current deformation, or inherited fabric from Farallon subduction?



Farallon average subduction strike, rotated by McQuarrie & Wernicke 2005 reconstruction

# Orientation comparison: Pn fast vs. Farallon subduction strike



Pn Buehler & Shearer, 2014

# Conclusions

Structural geology at depth:

4-D evolution of the continent





## Conclusions

RF azimuthal conversions (not splitting!): image narrow shear zones in crust, depth control

crustal anisotropy:

- hexagonal good assumption
- mostly slow axis anisotropy, even amphibolites
- ellipticity is not a good assumption

foliation strikes from RF ~parallel faults, often coherent through lower crust

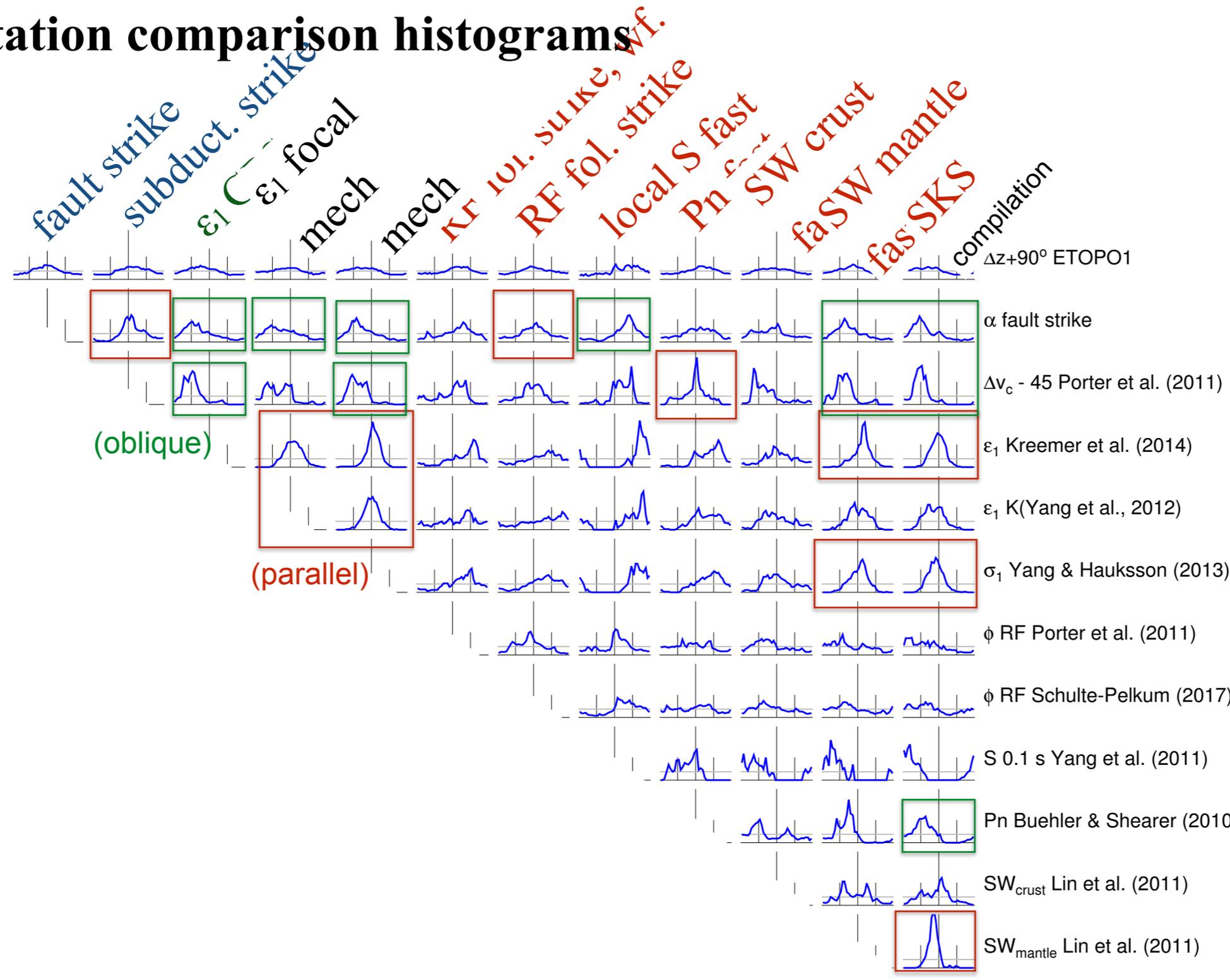
Faults/shear zone

Crustal anisotropy

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Conclusions

# Orientation comparison histograms

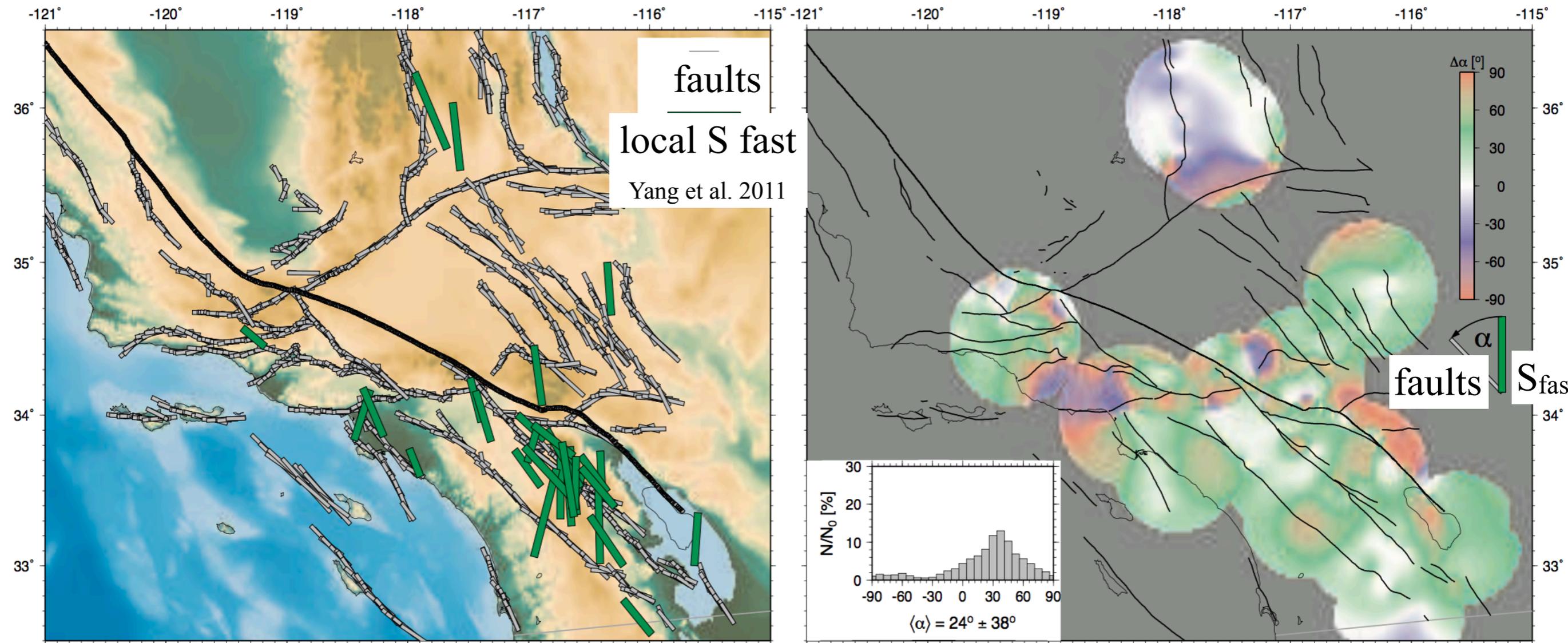


- range strike
- fault strike
- Farall. subduct. str
- ε1 focal
- mech
- mech
- RF fol.
- local S fast
- SW crust
- SW mantle
- fast



# Orientation comparison: local S splitting vs. faults

Local splits: microcracks?



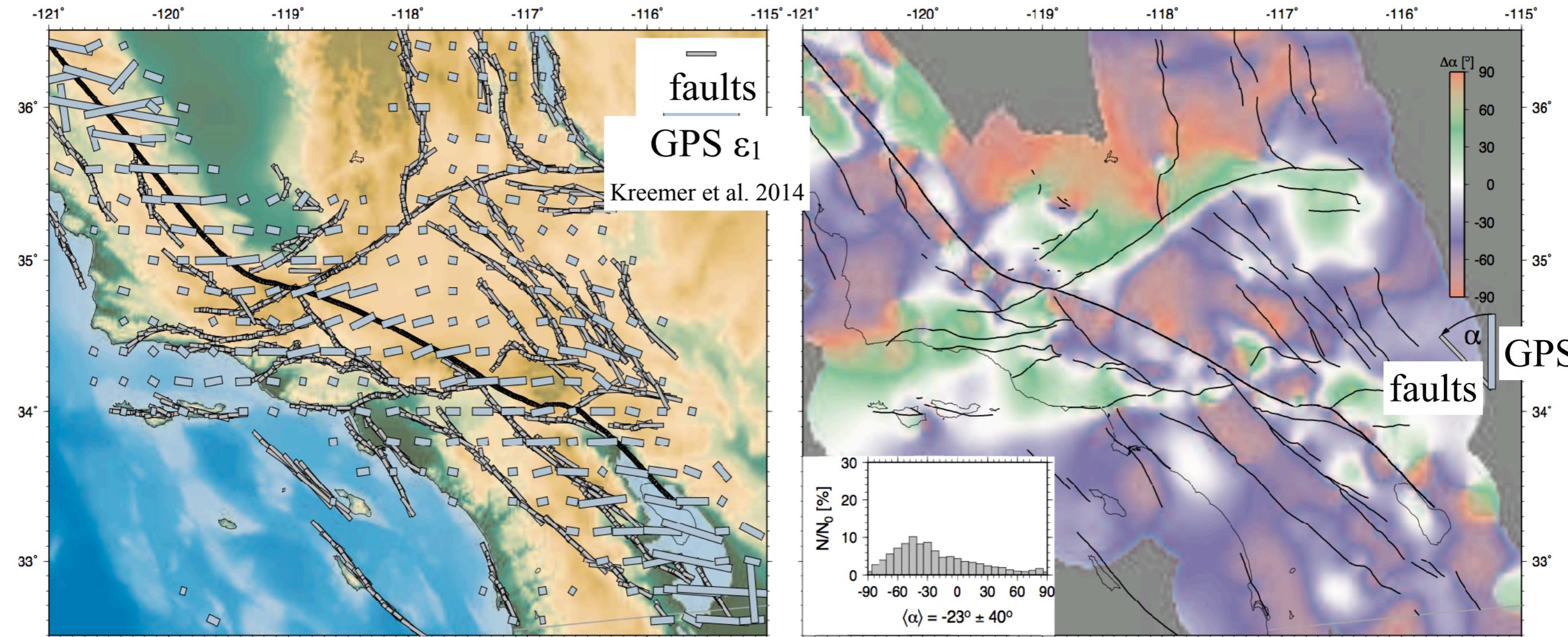
1. Motivation

2. Method

3. Results

4. Conclusions

# Orientation comparison: GPS stretch vs. faults



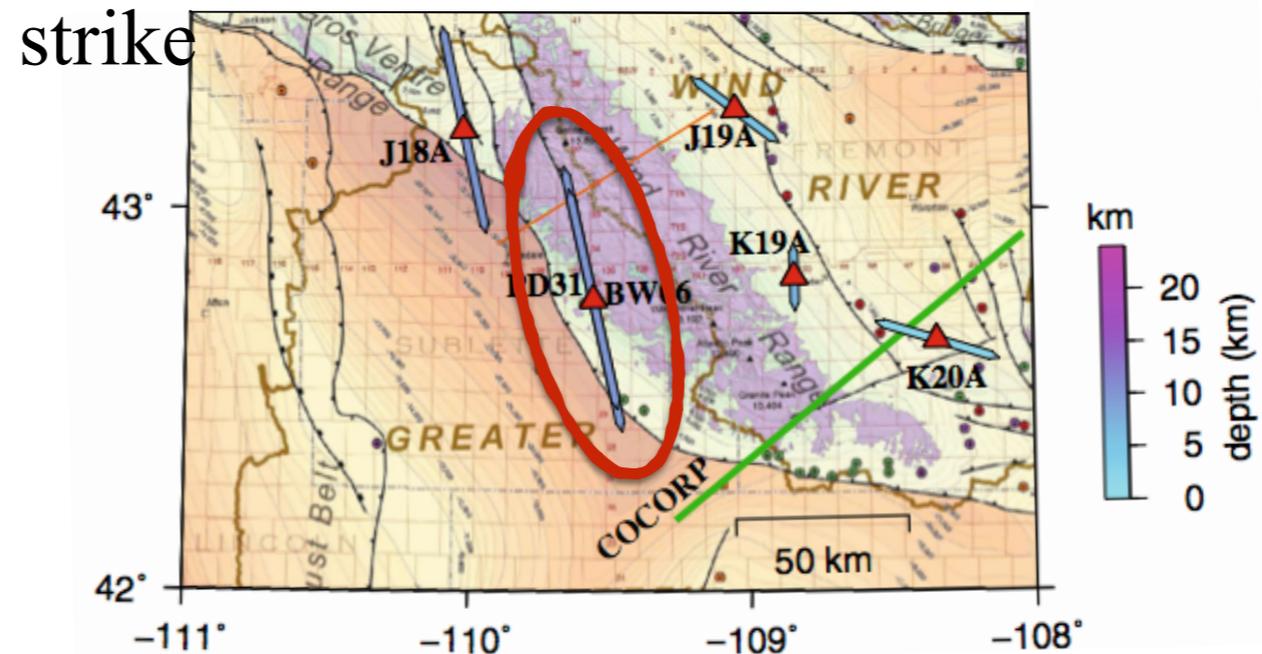
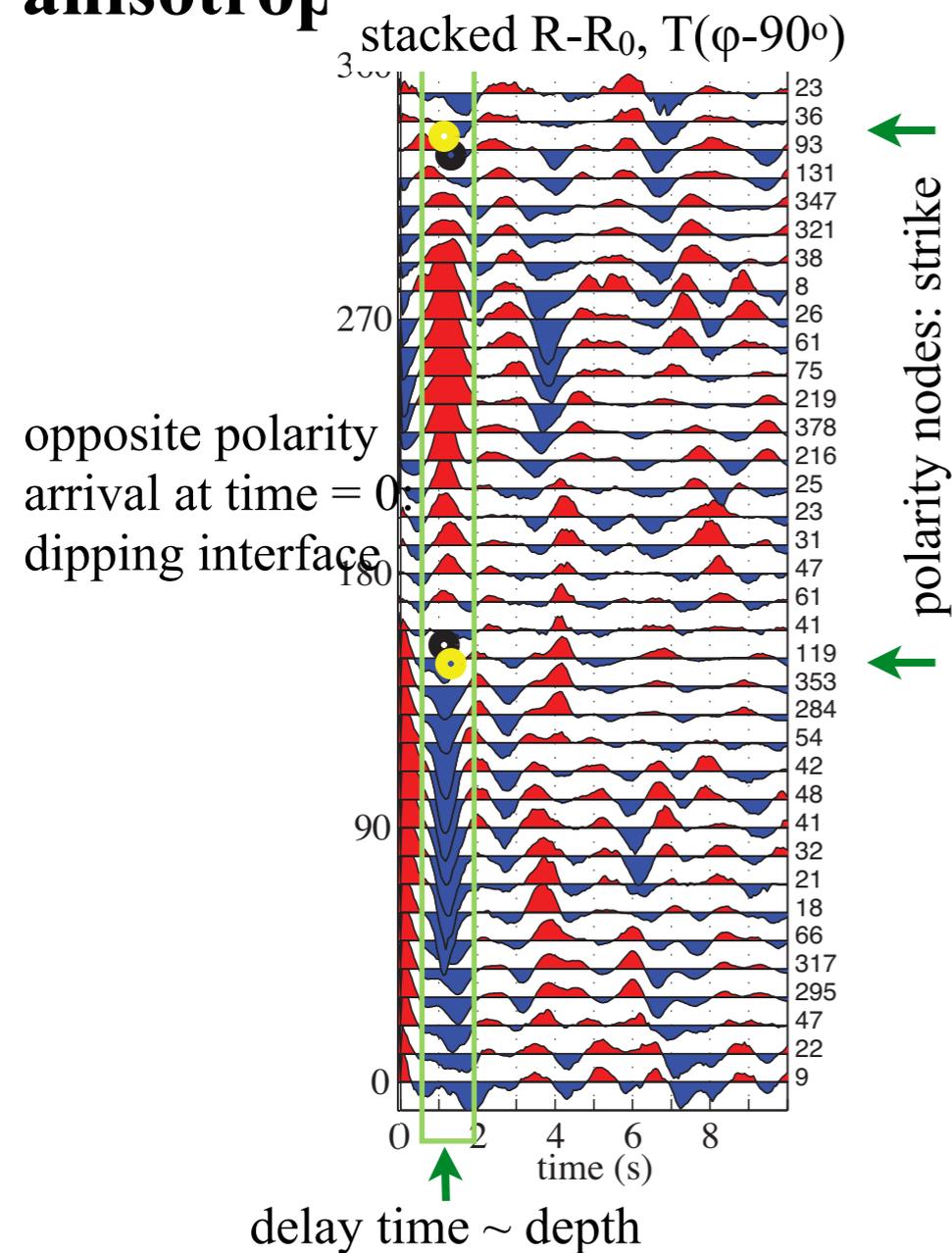
1. Motivation

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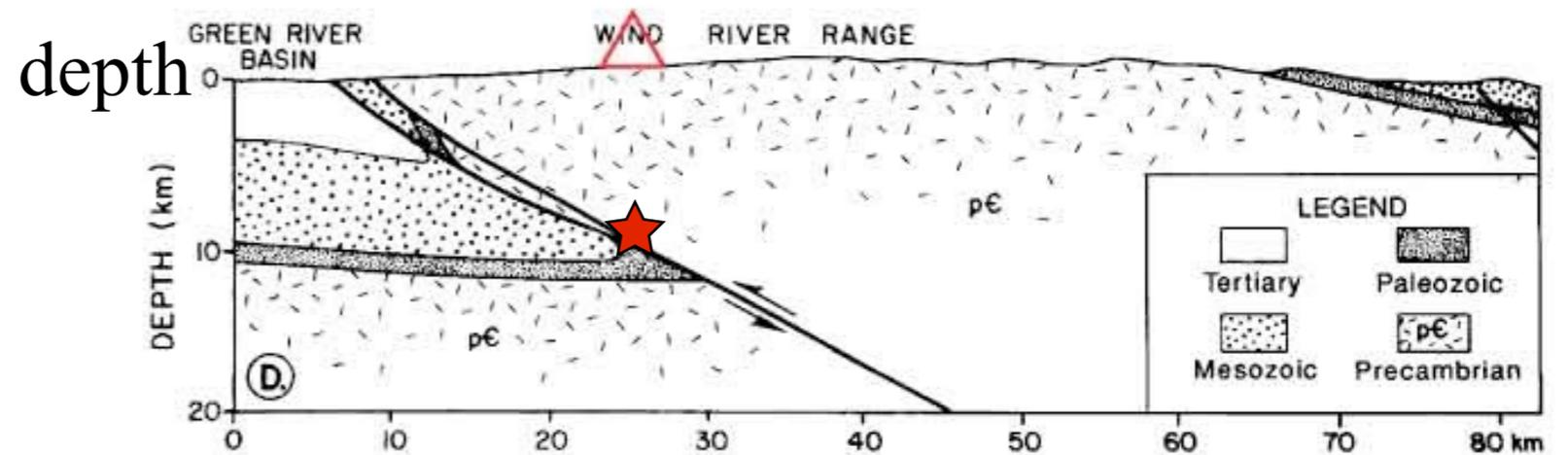
3. Results

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# Robust strike, depth; distinction between dipping interface and plunging axis anisotropy



Schulte-Pelkum and Mahan, 2014, PA



COCORP interpretation from Steidtmann & Middleton, 1991

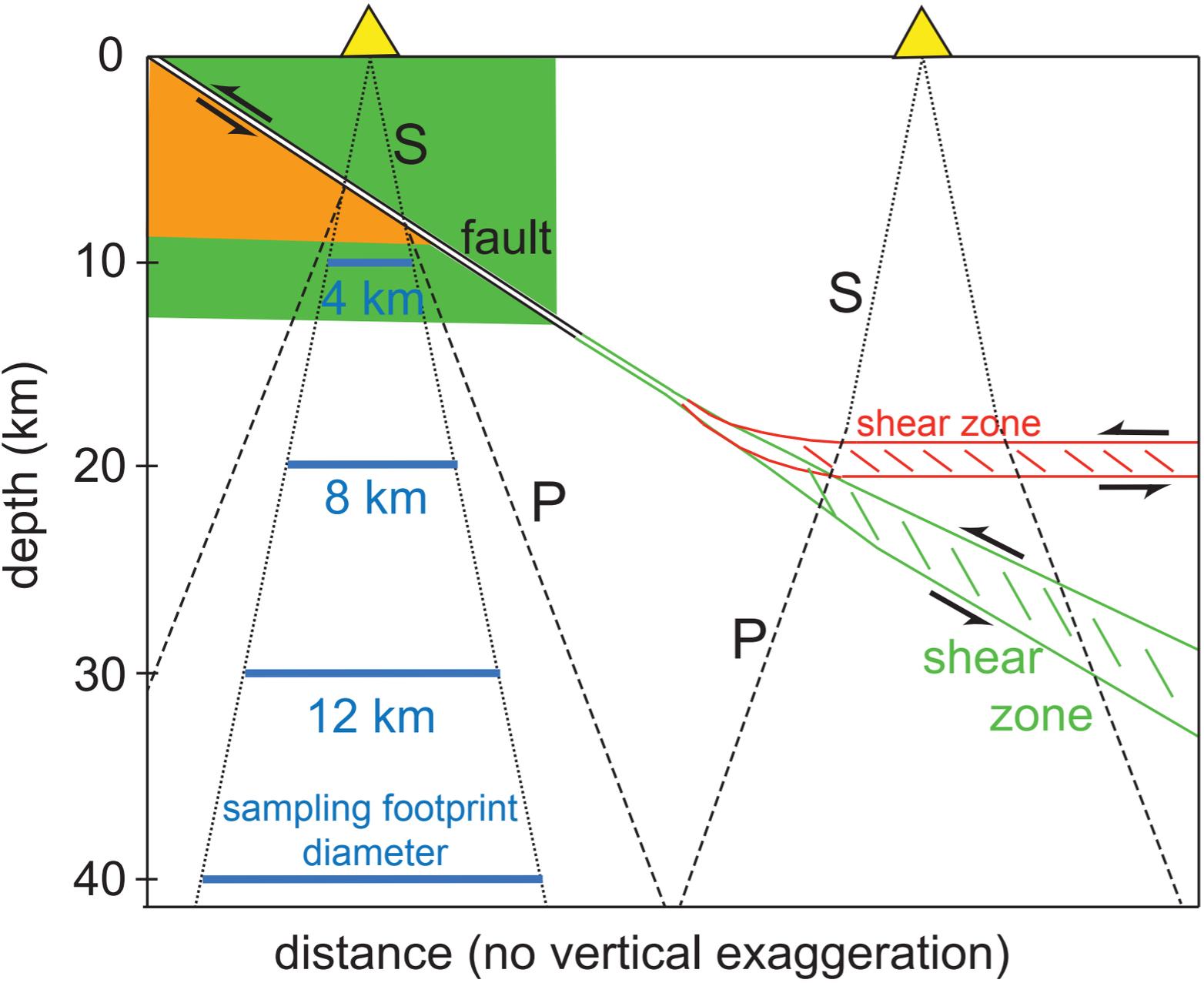
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**Summary: image faults and narrow shear zones with splitting P-S functions**

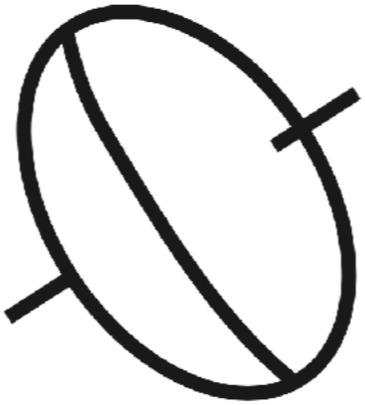
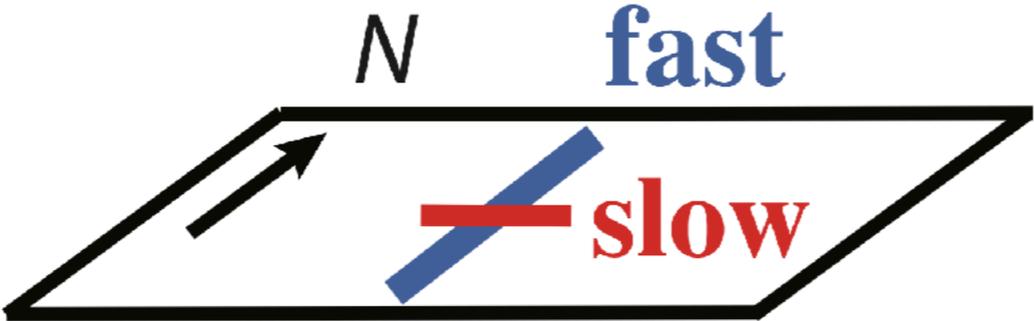


- sees Vp anisotropy contrasts to ~ 3%
- known depth
- required thickness 1-2 km
- required horizontal extent < 5 km (upper mantle to ~20 km)

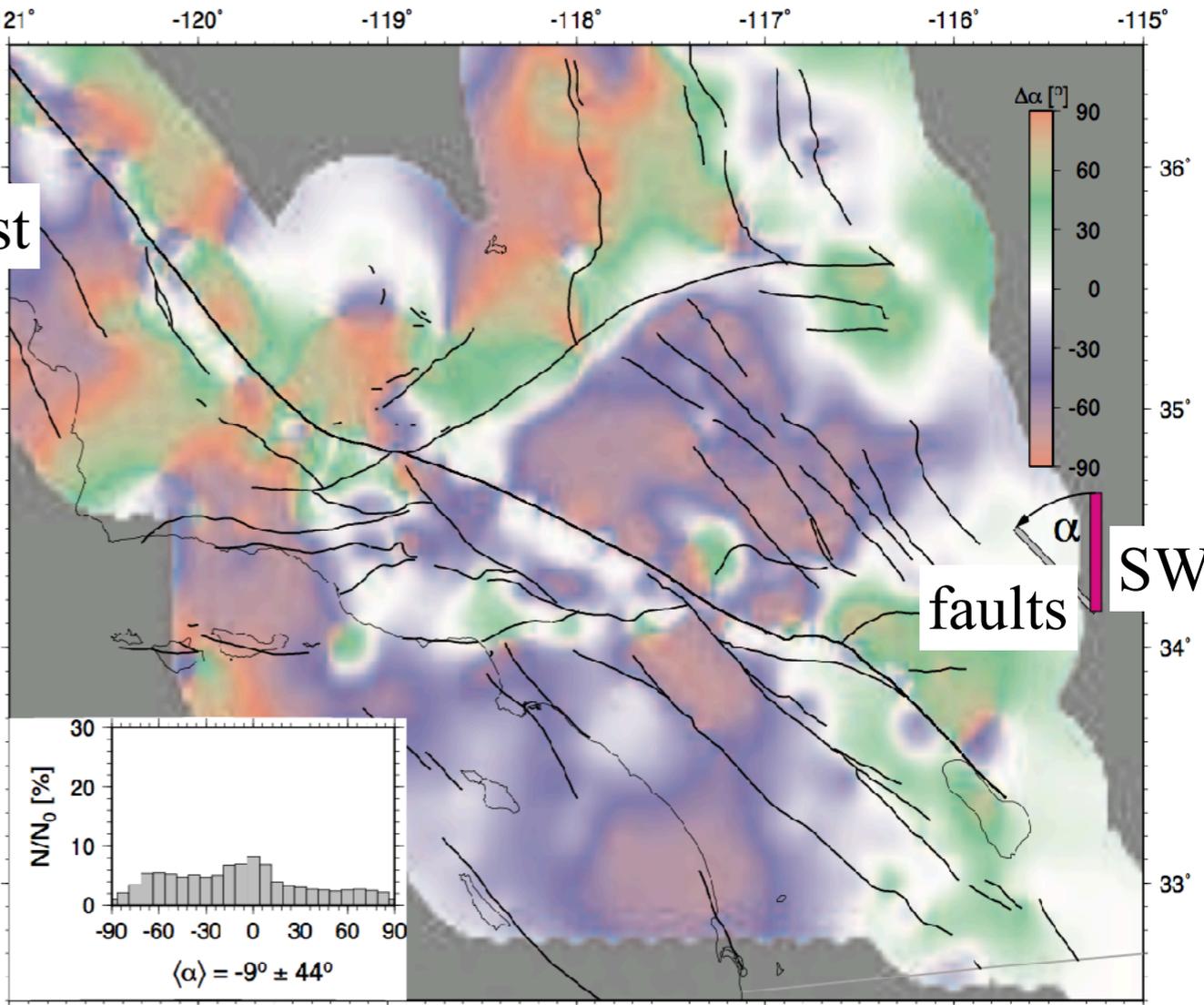
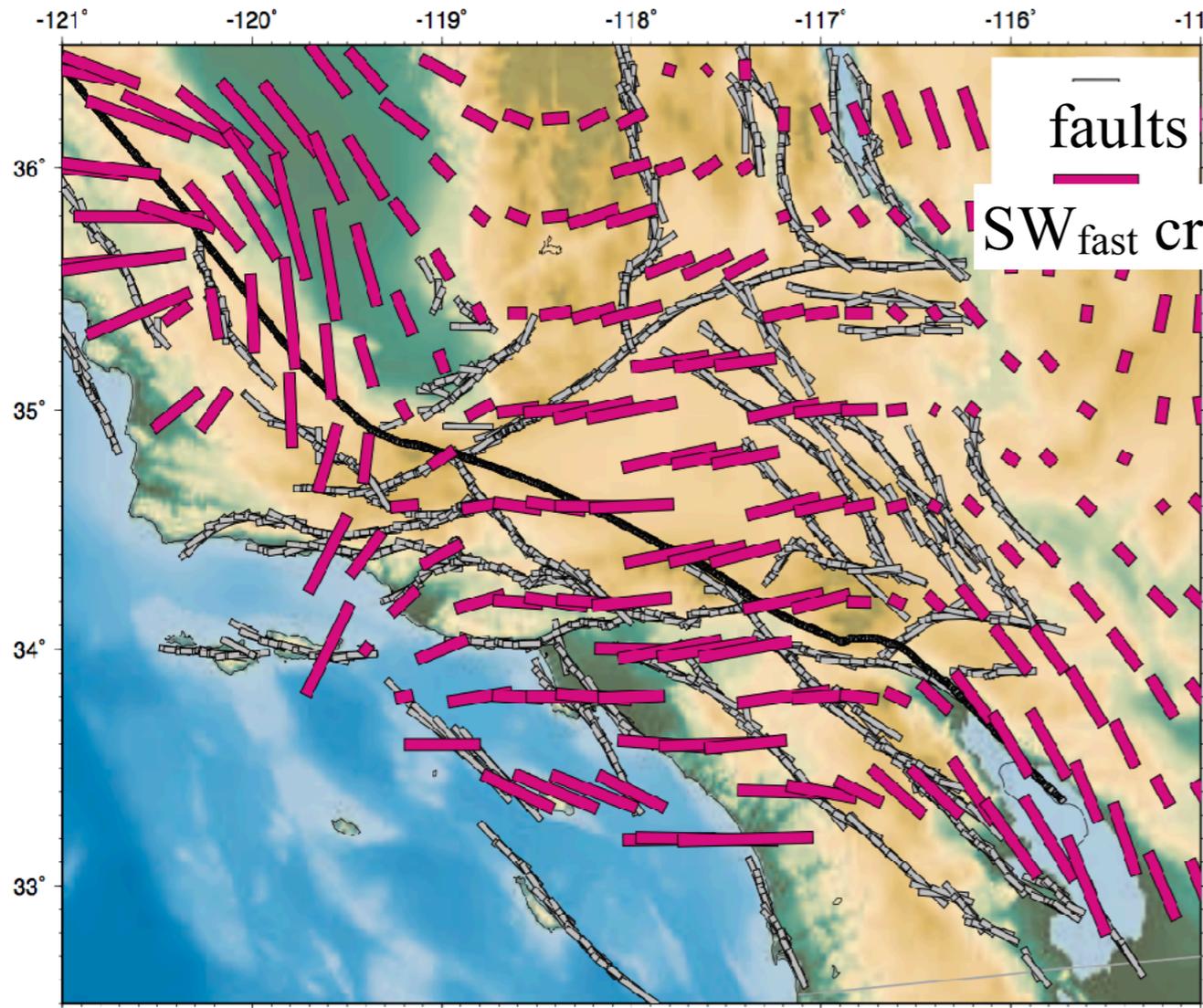
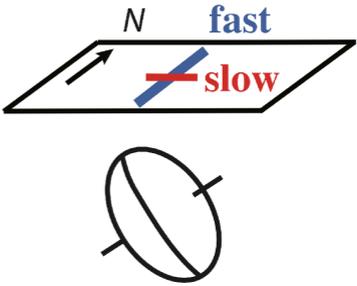
Schulte-Pelkum and Mahan, 2014, EPS

Schulte-Pelkum and Mahan, 2014, PAC

Park and Levin, 2016, GJI



# Orientation comparison: surface wave fast axis (crust) vs.



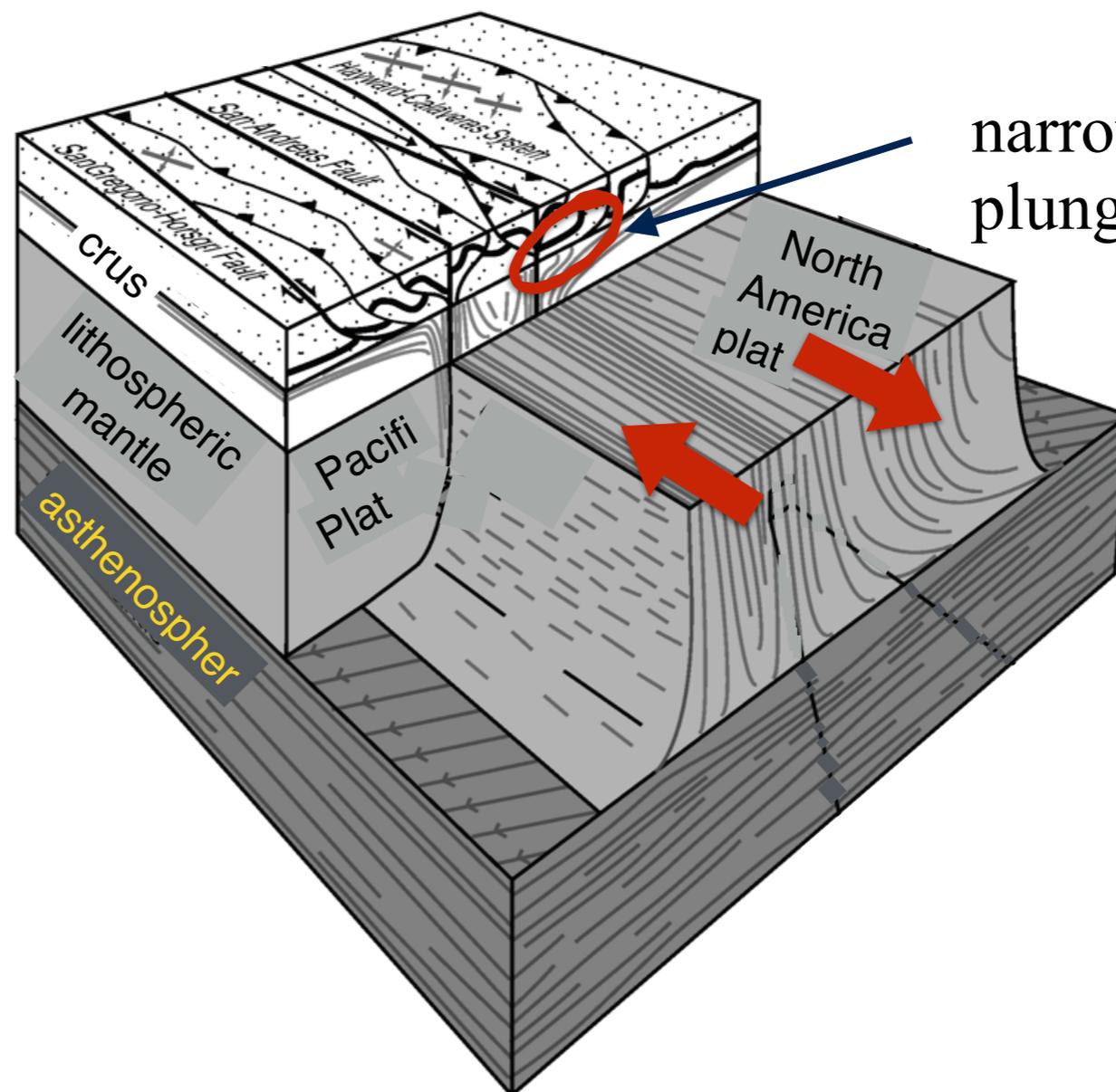
1. Motivation

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# Seismic anisotropy methods



narrow shear zones?  
plunging symmetry a

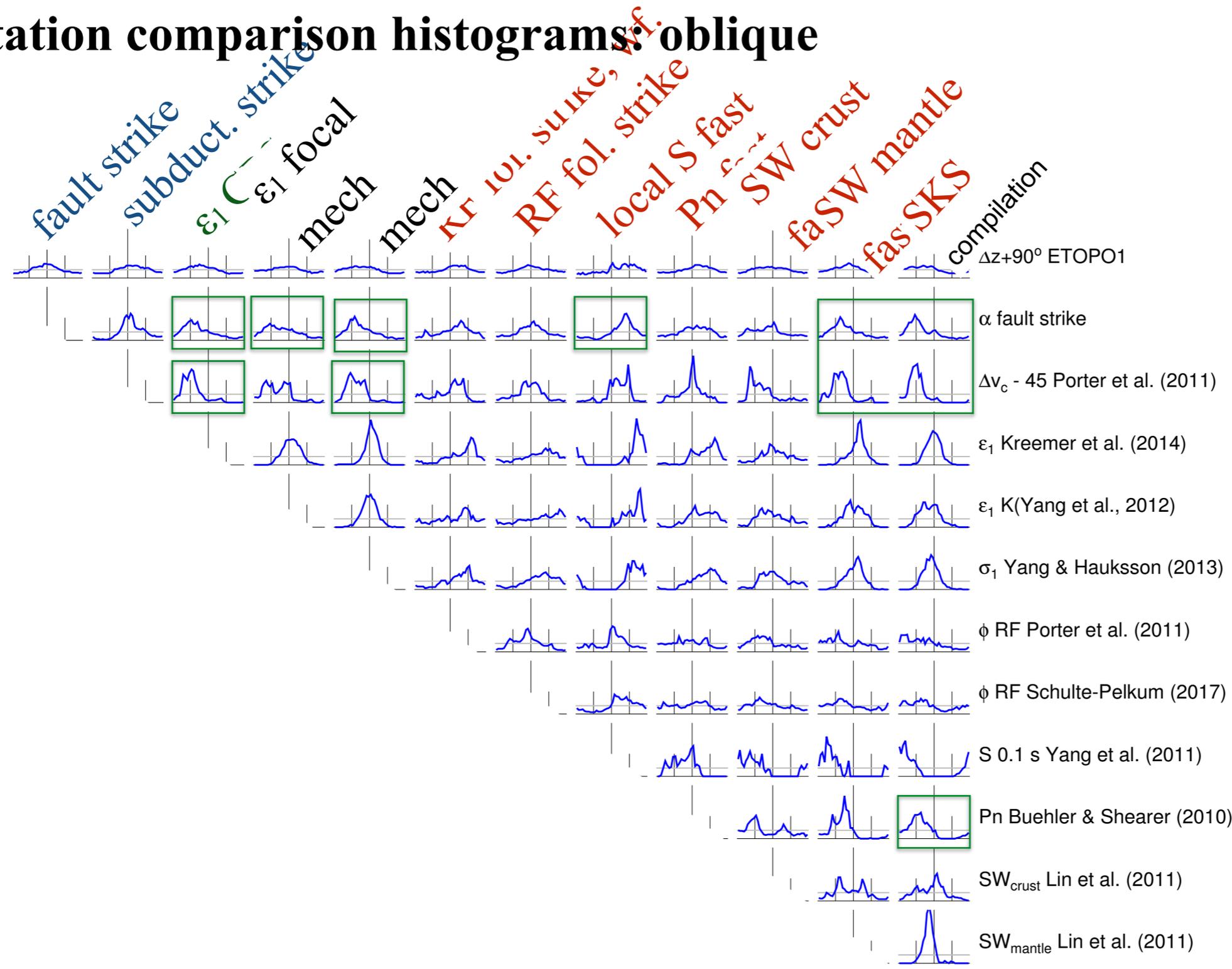
1. Motivation

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# Orientation comparison histograms: oblique



range strike  
 fault strike  
 Farall. subduct. str  
 ε1 focal  
 mech  
 mech  
 RF fol.  
 local S fast  
 SW crust  
 SW mantle  
 fast

